

IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF TEXAS  
TYLER DIVISION

CELLULAR COMMUNICATIONS )  
EQUIPMENT, LLC ) DOCKET NO. 6:14cv251  
-vs- ) Tyler, Texas  
APPLE INC., ET AL ) 8:33 a.m.  
September 9, 2016

TRANSCRIPT OF TRIAL  
BEFORE THE HONORABLE K. NICOLE MITCHELL,  
UNITED STATES MAGISTRATE JUDGE

A P P E A R A N C E S

FOR THE PLAINTIFF:

MR. BRADLEY W. CALDWELL  
MR. JOHN AUSTIN CURRY  
CALDWELL CASSADY & CURRY  
2101 Cedar Springs Rd., Suite 1000  
Dallas, Texas 75201

MR. EDWARD R. NELSON III  
NELSON BUMGARDNER PC  
3131 West 7th Street, Suite 300  
Fort Worth, Texas 76107

MR. J. WESLEY HILL  
WARD, SMITH & HILL PLLC  
1507 Bill Owens Parkway  
Longview, Texas 75604

COURT REPORTER: MS. CHRISTINA L. BICKHAM, CRR, RMR  
FEDERAL OFFICIAL COURT REPORTER  
300 Willow, Ste. 221  
Beaumont, Texas 77701

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1 FOR THE DEFENDANTS:

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3 MR. DOUGLAS E. LUMISH  
4 MR. JEFFREY G. HOMRIG  
5 MS. LISA K. NGUYEN  
6 MR. BRETT M. SANDFORD  
7 LATHAM & WATKINS LLP  
8 140 Scott Dr.  
9 Menlo Park, California 94025-1008

7 MR. JOSEPH H. LEE  
8 LATHAM & WATKINS LLP  
9 650 Town Center Drive, 20th Floor  
10 Costa Mesa, California 92626-1925

10 MR. ERIC H. FINDLAY  
11 FINDLAY CRAFT PC  
12 102 N. College Avenue, Suite 900  
13 Tyler, Texas 75702

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P R O C E E D I N G S

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(Jury out.)

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THE COURT: I know we've got a few issues to take  
up this morning before we bring in the jury. I also want to  
give you your trial times as of the end of the day yesterday.

20

Plaintiff has used 6 hours and 51 minutes.

21

22

Defendant has used 5 hours and 1 minute. And that's just on  
the jury issues.

23

So what's the first thing we need to take up?

24

25

MR. LUMISH: The first issue for me, Your Honor --  
and this is Doug Lumish for Apple -- is Mr. Stattin's lawyer

1 told me yesterday that he needs to fly home to Sweden  
2 tomorrow. I have discussed it with Mr. Hill.

3 I think we have a solution that works for both of  
4 us, which we hope will work for the Court, which is they will  
5 start with Ms. Mewes today. I understand their examination  
6 sounds like it will be about a half an hour.

7 We'll do some redirect with Ms. Mewes, but if it  
8 looks like it's going to go longer than would allow  
9 Mr. Stattin to go up and down today, we'd like to state to  
10 the Court that we're going to reserve the rest of our  
11 questions, we have more questions for her, but that we're  
12 going to reserve them in order to let Mr. Stattin go and get  
13 home to Sweden, give them a chance to rest, call Mr. Stattin  
14 and then go back to our witnesses and our case-in-chief.

15 THE COURT: Any objection?

16 MR. HILL: No, Your Honor.

17 THE COURT: Okay. That's great.

18 MR. LUMISH: There's -- we were waiting for a  
19 ruling from you on the key deposition designations which  
20 we'll need to play the video at some point, but I don't think  
21 you need to do that at this moment, Your Honor.

22 THE COURT: Let me hear argument on that again.  
23 I've reviewed the deposition. I've the gotten notice from  
24 you-all in light of the testimony yesterday.

25 MR. LUMISH: It's fairly straightforward. He's the

1 CEO of CCE. He's the only CCE witness that would be  
2 appearing in this case. We think the jury should hear from  
3 him.

4           There's been an effort -- and I think we'll see  
5 more of an effort when our witnesses appear -- to paint them  
6 as having been cavalier or negligent about the way they went  
7 about this case; that they didn't review source code  
8 sufficiently; that they didn't do the things that CCE thinks  
9 they should have done in response to this case. And I think  
10 it's only fair to have some balance in that.

11           Mr. Key is the person who was sending letters back  
12 and forth to Apple. He's the one who's at the top of the  
13 letterhead, as it were, on this case and this company. And  
14 this testimony goes to some of that.

15           In addition, it just is relevant to damages issues  
16 as much as anything else. They have presented the '820  
17 patent as if it were the crown jewel and the most important  
18 thing in the CCE portfolio. And we think it's very clear  
19 from Mr. Key's testimony that he didn't, at least, observe  
20 that or -- or believe that.

21           THE COURT: Okay. Response.

22           MR. HILL: Thank you, Your Honor. Wesley Hill for  
23 the Plaintiff.

24           Your Honor, we oppose the playing of Mr. Key's  
25 deposition, for a couple reasons.

1           No. 1, this issue that suggesting there needs to be  
2 parity between the facts that we showed about Apple's either  
3 diligence or lack of diligence in terms of reviewing the  
4 allegations in this case actually go to the issue that the  
5 jury has to decide. It goes to the willfulness case. It  
6 also goes to the intent elements that accompany an inducement  
7 claim. And so those are things that are material to a fact  
8 our jury will have to decide.

9           And when you turn that around and you try and use  
10 it as a justification to then play testimony to simply try to  
11 disparage Mr. Key by suggesting that he is some way out of  
12 touch or not as tuned in to this litigation as Apple would  
13 prefer, we're not pursuing an issue that's actually before  
14 the Court.

15           And so I think there's a fundamental disconnect in  
16 terms of that argument. One goes to a material fact; one  
17 goes to an irrelevant fact for which the prejudicial value  
18 clearly outweighs any probative value because there is no  
19 probative value. So that's the first point, Your Honor.

20           With regard to the -- and frankly, Your Honor,  
21 that's the majority of the Key deposition. I mean, I know  
22 the Court has seen it, and the Court has reviewed it. You  
23 know, to the extent that they want to select portions of it  
24 to go to some relevant element of the case, something other  
25 than just trying to suggest that they're attacking Acacia's

1 business model, which the Court has dealt with in the motion  
2 in limine context and said it's improper, or whether they are  
3 disparaging corporate character, which the Court has said in  
4 the limine rulings is improper, or they are just simply  
5 trying to, you know, make Mr. Key look bad, which is what we  
6 think the majority of the depo goes toward, we maintain our  
7 objections.

8 We would ask that the Court, frankly, exclude most,  
9 if not all, of the deposition because of those issues. And  
10 at minimum, Your Honor, we would ask the Court review our  
11 actual objections to the page and line and give us specific  
12 rulings on the issue because this is a point of preservation  
13 for us on which we need to make a clear record.

14 THE COURT: Response.

15 MR. LUMISH: Yes, your Honor.

16 First of all, they -- they've only objected to  
17 about four minutes of the proposed video, so I don't think  
18 they're entitled to raise the objection to the larger video.  
19 The rest should come in. They've waived objections, we've  
20 negotiated those in meet and confer according to the normal  
21 process.

22 There's four minutes of time that they want  
23 excised, which I believe was handed up to Your Honor. So we  
24 would ask that you only rule on that four minutes as opposed  
25 to the larger video which has been negotiated.

1           Otherwise, I think I've -- I've made my point, Your  
2 Honor. We think it's relevant to damages, we think it's  
3 relevant to the -- to the overall balance of the case.

4           THE COURT: Okay. I'm going to exclude this --  
5 this four minutes that you-all handed up yesterday. Everyone  
6 represented to me that this was the disputed portion of the  
7 testimony. So everything else is coming in.

8           MR. HILL: Your Honor, may I raise one issue? And  
9 I will confess a lack of familiarity with the extent of all  
10 the designations. But to the extent the designations deal  
11 with going after Acacia's business model from a licensing  
12 standpoint, we think that opens the doors to a number of  
13 things, including things like the Rockstar Consortium and  
14 other matters that could be pertinent to other witnesses in  
15 this case.

16           THE COURT: Are we even going to get to this depo  
17 clip today before lunch?

18           MR. LUMISH: I doubt it, your Honor.

19           THE COURT: Okay. Well, I would encourage y'all to  
20 keep -- to -- to have more discussion about that because I  
21 understood that this was the only portion that was objected  
22 to. So if now that's different, I -- I need to know about  
23 it.

24           MR. HILL: Thank you, Your Honor.

25           THE COURT: All right. What's next?

1 MR. LUMISH: Lastly, I think Mr. Hill and I reached  
2 another agreement. I think we ought to talk to each other  
3 every night. It was a nice change of pace.

4 So we addressed the Ericsson issue that Mr. Hill  
5 raised with you in sidebar yesterday, Your Honor, and have  
6 reached what I believe is an agreement. I'd like to read it  
7 out to you, if I may?

8 THE COURT: Please, yes.

9 MR. LUMISH: So -- and for the purpose of trying to  
10 establish bias with Ms. Mewes this morning we have agreed  
11 that CCE --

12 MR. HILL: Mr. Lumish, that may be the question you  
13 were just asking. Do we need to do anything for the  
14 protective order --

15 MR. LUMISH: Well, that was the question he was  
16 just asking me, whether we should seal the courtroom for the  
17 purpose of -- may we do it on sidebar?

18 THE COURT: Yeah, if y'all want to.

19 MR. LUMISH: Would that be acceptable, Your Honor?  
20 (Courtroom sealed.)

21 (This portion of the transcript is sealed and filed  
22 under separate cover as Sealed Portion No. 9.)

23 (Courtroom unsealed.)

24 THE COURT: All right. Mr. Hill, let's take up the  
25 next matter.



1 MR. HILL: Thank you, Your Honor.

2 Your Honor, we have one other issue we were going  
3 to put on the record. The parties have agreed that neither  
4 will mention anything to do with the alleged job offer Mr.  
5 Sebire got from Apple for the remainder of the jury trial.

6 This means we can both be assured here that there  
7 will be no further testimony, evidence, or argument mentioned  
8 in closing arguments, anything of the sort on the subject for  
9 the remainder of the jury trial. To the extent it's relevant  
10 to bench issues, we'll raise those with the Court. And the  
11 parties have made that agreement.

12 MR. LUMISH: We agree, Your Honor.

13 THE COURT: Very good.

14 Anything else?

15 MR. LUMISH: Not from us.

16 THE COURT: Thank you-all for your hard work last  
17 night. It's evidence and I appreciate the agreements.

18 MR. HILL: Your Honor, I'm going to spoil your  
19 praise. We spoke too soon.

20 We -- we do -- and I don't know that it's a  
21 dispute, but it's an issue I want to raise.

22 With regard to prior art references that the  
23 Defendants actually intend to pursue in their case, we don't  
24 know what those are still. We have -- we know it could be  
25 among a universe of, I don't know, six or eight -- nine I'm

1 told -- but we don't know which of the nine. We know it  
2 won't be all nine. They know it won't be all nine.

3 We don't want to be put in the position that the  
4 first time we learn what they're actually going to use for  
5 their invalidity case is when their last witness presumably,  
6 or close to last witness, Mr. Acampora, hits the stand and  
7 testifies. And then we have that quick turnaround putting on  
8 a rebuttal case knowing for the first time what they're  
9 actually pursuing.

10 So we would ask that the Court require there be  
11 some identification by the weekend period so that we can  
12 start work with our folks who, you know, aren't all staying  
13 in town over the weekend -- there's a travel issue here in  
14 terms of working with experts -- so that we're on fair  
15 notice, we can prepare adequately for what's going to be  
16 launched at us. I'm not asking for a preview of their case,  
17 just asking which records will actually be pursued.

18 MR. LUMISH: I think we're happy to let them know  
19 over the weekend, Your Honor. Another thing we probably  
20 should have talked about last evening.

21 So I think we'll be able to work that out. I don't  
22 have a list in my head right now, so I'll need to go back and  
23 figure it out; and we'll let them know over the weekend.

24 MR. HILL: Okay. We would ask by midday Saturday  
25 if at all possible, Your Honor.

1 MR. LUMISH: How about by Sunday morning?

2 MR. HILL: We can -- we can live with that.

3 MR. LUMISH: All right.

4 THE COURT: Thank you.

5 MR. LUMISH: See how that works? Thank you, Your  
6 Honor.

7 THE COURT: My praise continues. Good job.

8 All right. We'll be in recess until 9:00 a.m.

9 (Recess.)

10 (Jury in.)

11 THE COURT: Good morning, Ladies and Gentlemen of  
12 the Jury, we're going to fit in a half day this morning, and  
13 we'll recess at lunchtime.

14 Plaintiffs, who will be your next witness?

15 MR. HILL: Thank you, Your Honor. At this time the  
16 Plaintiffs call Ms. Heather Mewes.

17 THE COURT: Oh, Mr. Hill, may we take up a -- looks  
18 like a housekeeping matter?

19 MR. HILL: You sure can. I jumped the gun, Your  
20 Honor. I apologize.

21 MR. MCMANIS: Good morning, Your Honor.

22 First, Plaintiff would move into evidence PX-56,  
23 which was used yesterday in the redirect of Mr. Green.

24 THE COURT: Any objection?

25 MR. SANDFORD: No objection, your Honor.

1 THE COURT: All right.

2 MR. MCMANIS: And with that, Plaintiff offers its  
3 list of trial exhibits admitted through September 8th.

4 THE COURT: Any objection to the exhibits on that  
5 list?

6 MR. SANDFORD: No objection, Your Honor.

7 THE COURT: Okay. That will be admitted.

8 MR. MCMANIS: May I approach?

9 THE COURT: You may bring that up here.

10 Thank you.

11 MR. SANDFORD: Good morning, Your Honor. Brett  
12 Sandford for Apple.

13 Apple would like to offer the evidence of their  
14 preadmitted list for September the 9th.

15 THE COURT: Any objection?

16 MR. MCMANIS: No objection, Your Honor.

17 MR. SANDFORD: May I approach?

18 THE COURT: You may.

19 Those exhibits will also be admitted.

20 MR. FINDLAY: One --

21 THE COURT: Mr. Findlay.

22 MR. FINDLAY: Thank you, Your Honor.

23 Your Honor, I wanted to take just a second and to  
24 sincerely apologize to the Court, to the jury, and to  
25 opposing counsel for the mistake I made yesterday with the

1 document at the end of Mr. Green's cross-examination. I was  
2 taught to measure twice and cut once, and I didn't do that.  
3 And for that I apologize.

4 This had nothing to do with my client or the team.  
5 It's all on me, and I just wanted to make that representation  
6 to the Court in open court and on the record.

7 Thank you, Judge.

8 THE COURT: Thank you, Mr. Findlay.

9 All right. Mr. Hill, who will be your next  
10 witness?

11 MR. HILL: Your Honor, at this time we call  
12 Ms. Heather Mewes.

13 THE COURT: Good morning, Ms. Mewes. If you'll  
14 just please come right over here and raise your right hand to  
15 be sworn.

16 (Witness sworn.)

17 THE COURT: Thank you.

18 HEATHER MEWES, PLAINTIFF'S WITNESS, SWORN

19 DIRECT EXAMINATION

20 BY MR. HILL:

21 Q. Good morning, Ms. Mewes. How are you?

22 A. Good morning. Good, thank you.

23 Q. My name's Wesley Hill. I represent CCE, the Plaintiff  
24 in this case.

25 I don't believe we've met before.

1 A. No, sir.

2 Q. Well, let me ask you this: Is it your first time in  
3 East Texas?

4 A. It is not.

5 Q. Okay. Well, I was going to welcome you if it was, but  
6 welcome back.

7 A. Thank you.

8 Q. Now, I understand that, Ms. Mewes, you're an attorney  
9 with Apple's legal department; is that right?

10 A. That's correct.

11 Q. Okay. What's your title?

12 A. Principal counsel.

13 Q. And what are your responsibilities as principal counsel  
14 for Apple?

15 A. So I have a number of responsibilities; but one of them  
16 relates to patent licensing, particularly with respect to  
17 cellular essential patents.

18 Q. Okay. And are you responsible for Apple's cellular  
19 standard essential licensing?

20 A. Yes.

21 Q. All right. Now, before this case, I understand that you  
22 were a patent attorney with a national law firm just like the  
23 lawyers representing Apple here today; is that right?

24 A. So, yeah, I was a -- I was a litigator at a law firm.  
25 That's correct.

1 Q. How long did you do that?

2 A. It was about 13 years, I think.

3 Q. Okay. So fair to note, you know more than most people  
4 about patent lawsuits?

5 A. I know some. I don't know about most people.

6 Q. All right. Well, you spent 13 years as a litigation  
7 attorney and, ultimately, a litigation partner practicing  
8 intellectual property law, right?

9 A. Yes, that's right.

10 Q. Okay. Now, you were here for opening statements?

11 A. Yes, sir.

12 Q. Have you heard any summaries or descriptions in the  
13 testimony this week?

14 A. No, sir.

15 Q. All right. And that's because you've been out of the  
16 room all week, right?

17 A. That's correct.

18 Q. And that's because we have a Rule in court that fact  
19 witnesses don't sit in during other fact witnesses'  
20 testimony, correct?

21 A. That's right.

22 Q. So I want to talk to you a little bit about something  
23 we've heard from Apple's lawyers in opening statements when  
24 you were present in the courtroom, okay?

25 A. Okay.

1 Q. Now, you'll remember that Mr. Homrig showed some  
2 slides -- counsel for Apple -- he showed some slides, and he  
3 said that Apple would show that Mr. Sebire didn't invent  
4 what's in the '820 patent, that other people at 3GPP made  
5 part of those inventions.

6 Do you recall those suggestions?

7 A. Yes, I do recall that.

8 Q. And would you agree that it's important when you're in a  
9 courtroom, when you have a jury assessing whether a party is  
10 telling them the truth or not -- would you agree that it's  
11 important to consider people's motivations when they testify?

12 A. Yeah. Sure.

13 Q. And those can be things like money, like business  
14 relationships, like common interests.

15 Do you agree all of those things can be matters that  
16 affect potential bias and the credibility of the witness?

17 A. Yeah. I think you want to look at the full picture.

18 Q. And those are all important considerations when you're  
19 assessing credibility and trying to decide whether or not the  
20 party's story is worthy of belief; isn't that right?

21 A. Yeah, I think so.

22 Q. And so we heard in opening statement a lot about a  
23 company called Ericsson.

24 Do you recall that?

25 A. Yes, I do.



1 Q. And we also heard from Mr. Homrig that there would be  
2 this gentleman from Ericsson that would come into this  
3 courtroom and that would tell this jury -- convince them --  
4 not just tell them, convince them, because there's a clear  
5 and convincing evidence burden -- convince them that  
6 Mr. Sebire didn't invent the very thing for which the Patent  
7 Office, after a full examination, granted Mr. Sebire the '820  
8 patent.

9 Recall that?

10 A. I do recall that, yes.

11 Q. In fact, we had a series of slides that were used.

12 MR. HILL: Can we take a look at those?

13 Q. (By Mr. Hill) There was a series of slides. There was  
14 this slide where the reference was made about these other  
15 people that were around the table at the 3GPP meetings.

16 Do you recall that?

17 A. Yes, I do.

18 Q. And then we saw this slide here where we saw a picture  
19 of a fellow who presumably is going to be this witness from  
20 Ericsson that's going to come tell us all about how  
21 Mr. Sebire didn't invent what the Patent Office granted him  
22 the '820 patent on, correct?

23 A. That's what I heard in openings, yes.

24 Q. Full disclosure to the jury important, Ms. Mewes?

25 A. Sure.

1 Q. Well, in full disclosure, Ericsson is no stranger to  
2 Apple, is it?

3 A. No. That's right.

4 MR. HILL: Your Honor, at this time, I think we  
5 need to seal the courtroom because of some protective order  
6 issues that may come up.

7 THE COURT: All right. Ladies and Gentlemen, we're  
8 going to seal the courtroom.

9 Now, the protective order in this case covers  
10 counsel of record for a party. Because of the nature of the  
11 testimony we're going over today, I'm going to ask that only  
12 counsel for Apple, CCE, and Ericsson remain in the courtroom,  
13 as well as any testifying experts.

14 If you don't fall into one of those categories,  
15 then I need you to exit now, please.

16 (Courtroom sealed.)

17 (This portion of the transcript is sealed and filed  
18 under separate cover as Sealed Portion No. 10.

19 Due to the nature of this testimony, Sealed Portion  
20 No. 10 will be filed double locked.)

21 (Courtroom unsealed.)

22 THE COURT: Good morning. If you'll please raise  
23 your right hand and be sworn.

24 (Witness sworn.)

25 MAGNUS STATTIN, PH.D., DEFENDANTS' WITNESS, SWORN

1 DIRECT EXAMINATION

2 BY MR. LUMISH:

3 Q. Good morning, Dr. Stattin.

4 A. Good morning.

5 Q. We talked by video conference. I'll introduce myself  
6 again since we've not met in person. My name is Doug Lumish.  
7 I'm a lawyer for Apple. Thank you very much for being here  
8 today.

9 Would you mind introducing yourself to our jury?

10 A. I'm Dr. Magnus Stattin from Sweden. I work at Ericsson,  
11 and I'm here to testify today.

12 Q. Did you pronounce it Stattin?

13 A. Stattin, yes.

14 Q. Okay. My apologies for mispronouncing it.

15 Are you a married man, sir?

16 A. I'm a married man.

17 Q. And do you have any kids?

18 A. I have three children; a 7-year-old daughter, and two  
19 boys soon to be 6 and 3 years old.

20 Q. And where do you live?

21 A. I live in a suburb to Stockholm on the north side. It's  
22 called Upplands Vasby.

23 THE REPORTER: What was -- can you repeat the name  
24 again?

25 THE WITNESS: Upplands Vasby.

1 THE REPORTER: Okay.

2 Q. (By Mr. Lumish) And you said near Stockholm. That's in  
3 Sweden, then? Pardon me. I hadn't heard of your hometown so  
4 I assume that's still in Sweden?

5 A. It is in Sweden.

6 Q. Okay. Then where did you grow up?

7 A. I grew up in Upplands Vasby.

8 Q. Can you tell us a little bit about your education,  
9 please?

10 A. I started electrical engineering at the Royal Institute  
11 of Technology in Stockholm and received my master's degree in  
12 1998, I believe it was. And then I continued my studies for  
13 licentiate degree and Ph.D. degree in -- and Ph.D. degree I  
14 received in 2005.

15 Q. And you work at Ericsson; is that right?

16 A. That's correct.

17 Q. What's your role at Ericsson?

18 A. I'm involved in our technical work internally. I'm a  
19 expert in one of our major -- major projects on the research  
20 side.

21 Q. And is that project confidential, or can you tell us  
22 what that is?

23 A. It provides concepts -- develops concepts for  
24 standardization and then mobile communicate systems. And  
25 also provides some support to the product organization when

1 it comes to providing solutions and evaluations.

2 Q. And before the work you just described, have you been  
3 involved with Ericsson's standardization efforts?

4 A. Yes, I have.

5 Q. And can you tell us a little bit about what you've done  
6 in that regard?

7 A. I joined the Ericsson standards team in January 2006 and  
8 attended working group meetings in the RAN2 Working Group  
9 developing certain protocols -- certain protocols for mobile  
10 communication. And I was involved in that work from 2006 in  
11 January until, I believe, early 2013. And my role was in the  
12 beginning I was delegate for Ericsson, and then I went on to  
13 be the head of our Ericsson delegation in RAN2.

14 Q. Have you received patents for your work?

15 A. I have.

16 Q. How many have you received?

17 A. I -- it's quite a few. I don't recall exact number, but  
18 it would be more than 80 patent applications that I can  
19 recall of.

20 Q. When did you get to Tyler here, sir?

21 A. I got here on, I believe, Monday afternoon.

22 Q. And have you and I met while you've been here?

23 A. No, we have not.

24 Q. Have we sat down to script out what we're going to talk  
25 to the jury about today?

1 A. No, we have not.

2 Q. What have you been doing with your free time here in  
3 Tyler?

4 A. I've been doing some regular work from remote, talking  
5 to people back at home by e-mail. I have been out walking.  
6 I went from the hotel to -- to JC Penney to buy some -- a few  
7 pair of jeans. And I also got my kids a few presents, some  
8 clothes.

9 Q. Why jeans at JC Penney? Is that a --

10 A. I -- it's very expensive, blue jeans in Sweden. So  
11 while I'm here, I tend to take care, the opportunity to go to  
12 places I know and stock up on -- on jeans.

13 Q. Got it. Thank you.

14 Have I told you what to tell our jury today?

15 A. No, you have not.

16 Q. Has any of my team -- any Apple lawyers done that, sir?

17 A. No.

18 Q. Do you work for Apple in any way?

19 A. No, I don't.

20 Q. Do you owe any obligation to Apple of any kind?

21 A. No.

22 Q. Are we paying you to be here today, sir?

23 A. No, you're not.

24 Q. Did Apple give you an iPhone, an iWatch, any kind of  
25 incentive to come here today?

1 A. No.

2 Q. In the standardization work you did -- let's go to the  
3 substance so we can use your time efficiently today. You  
4 worked on the 3GPP RAN2 Working Group; is that right?

5 A. That's correct.

6 Q. And maybe just can you tell us from your point of view  
7 what the purpose is of the 3GPP standards body?

8 A. The 3GPP -- the purpose of 3GPP is to try to specify a  
9 global standard for mobile communication systems. In the  
10 past we've had many different standards used in different  
11 parts of the world. By totality it is a bit inconvenient for  
12 people using one cell phone in U.S., one cell phone in  
13 Europe, and another cell phone in Japan. So 3GPP was created  
14 to try to develop a global standard.

15 Q. Do -- is part of the effort at 3GPP, to have different  
16 companies come together and collaborate with each other?

17 A. Yes. Many companies come together to -- to define the  
18 specifications, a standard.

19 Q. And why is it important or helpful to have different  
20 companies come together and collaborate in that way?

21 A. It's -- these systems, there are huge investments  
22 involved, and it's developing your own system and your own  
23 sort of specifications. Then, yes, maybe you will be able to  
24 sell that.

25 But if you do it together, a lot of companies, there's a

1 risk that you will not get the market share that you would  
2 like to have, but at least you're having compatible products,  
3 and you will still have a possibility to sell something and  
4 get a return on investment.

5 Q. What has your role been in the RAN2 Working Group? I  
6 think you know this case relates to buffer status reporting  
7 and RAN2 and some of your work had overlapped with  
8 Mr. Benoist Sebire. So I just want to ask what your role was  
9 related to that working group, if I may?

10 A. My role was -- I mean, I was a delegate for Ericsson  
11 selling Ericsson views and had discussions with other  
12 companies, I represented Ericsson.

13 I was also the rapporteur of technical specification  
14 with the No. 36.321.

15 Q. And the 36.321 specification, you said you were the  
16 rapporteur for that?

17 A. Yes.

18 Q. We've -- we've heard what a rapporteur is. What is that  
19 part of the specification and how does it relate, if it does,  
20 to buffer status reports?

21 A. The 36.321 specification describes a communication and  
22 control -- how the network can communicate and control the --  
23 the mobile phone. And it relates to what we call the user  
24 plane. That is the part which takes care of transporting  
25 user data. And buffer status reporting is an essential part



1 of that specification.

2 Q. So are you the rapporteur for the entire -- that entire  
3 area of the standard?

4 A. Yes.

5 Q. And are you still now?

6 A. No. As of last August I passed this responsibility on  
7 to a colleague of mine.

8 Q. So then what was the time frame over which you were the  
9 rapporteur for that part of it, for 36.321, that part of the  
10 standard?

11 A. I was the rapporteur from the day it was -- it was  
12 created. That was in some first half of 2007, if I recall  
13 correctly, until now basically.

14 Q. Within the RAN2 Working Group we talked about  
15 collaboration of companies. Do companies that are in the  
16 RAN2 Working Group together, also sometimes collaborate with  
17 each other?

18 A. Yes, they do.

19 Q. Do you sometimes submit joint proposals among different  
20 companies?

21 A. Yes.

22 Q. And have you yourself been part of joint proposals and  
23 joint submissions with people from other companies?

24 A. Yes, I have.

25 Q. How do those come about? What -- what causes companies

1 to work to work together and do a joint proposal instead of  
2 just one for themselves?

3 A. There are many companies in 3GPP, and sometimes when all  
4 of the companies come with slightly different proposals, it's  
5 not clear to the group in which direction we are working, and  
6 it may result in very long discussions trying to agree on the  
7 details.

8 And to show some common understanding among a great  
9 number of companies, we sometimes produce joint contributions  
10 to enhance or speed up our process.

11 Q. Thank you.

12 Do you sometimes and does Ericsson sometimes, though,  
13 also submit proposals to the RAN2 Working Group?

14 A. Yes.

15 Q. And how do you decide which one to do as an individual  
16 and which ones you'll do as a -- as a joint team?

17 A. It depends on the context and the state of discussions.  
18 If there have been very prolonged discussions and it seems  
19 that progress is very difficult to make, then it's-- if you  
20 can find other companies having similar thinking, then that's  
21 a good -- good opportunity for joint contributions. And if  
22 you're in the early stages of discussions, then it is  
23 unlikely that companies have considered all of the options  
24 available, so then typically you have individual  
25 contributions.

1           MR. LUMISH: Your Honor, may I approach the witness  
2 and hand up some binders?

3           THE COURT: Yes.

4           MR. LUMISH: Thank you.

5 Q. (By Mr. Lumish) I wanted to ask you about a particular  
6 collaboration in the RAN2 Working Group, which our jury has  
7 heard a fair amount about.

8           You have a binder that you've been given, and the way  
9 this works is it's labeled by what we call exhibit numbers.

10          And so if you open it up, you'll see there's a tab. I'm  
11 going to call out the numbers for them and ask you to take a  
12 look at some of these documents. But we're also going to put  
13 them up on the computer monitor in front of you. So  
14 whichever is easier for you, on paper or monitor, however is  
15 best for you.

16          I want to start with DTX-754, and the first page of  
17 that.

18          MR. LUMISH: Bring up the e-mail, if we could,  
19 please.

20 Q. (By Mr. Lumish) Do you recognize this, sir, this  
21 document?

22 A. It's an e-mail from -- it's an e-mail from myself to a  
23 colleague of mine and several delegates at other companies,  
24 which were -- which were -- which we had an ad hoc workshop  
25 with.

1 Q. You looked a little perplexed at the e-mail address.

2 Let me ask you a question. Has Ericsson had lawyers to  
3 represent the company related to Apple asking you to appear  
4 in trial today?

5 A. I've been asked by Ericsson Legal to appear here today,  
6 and I was asked by Ericsson Legal to provide certain  
7 documents.

8 Q. And do you know if the law firm, though, that's been  
9 helping you is a firm called Holland & Knight?

10 A. I believe so.

11 Q. Do you see the reference to "hklaw" there?

12 Are you aware that sometimes when documents are  
13 forwarded or produced, it might change the e-mail address?  
14 Have you seen that happen before?

15 A. I haven't seen it before.

16 Q. Okay. So I'll represent to you that I think -- I think,  
17 anyway, that's what happened here. It's from your lawyer  
18 forwarding these e-mails to me and to CCE's lawyers.

19 So you say here: Dear all -- well, let me actually ask  
20 about the subject first.

21 It says: SR and BSR triggering slides.

22 Do you know what that refers to?

23 A. That refers to a slide-set on scheduling requests and  
24 buffer status reporting triggering that we produced during  
25 our workshop in Helsinki.

1 Q. And is this an e-mail you actually sent on or around  
2 October 22nd, 2007, sir?

3 A. I believe that's correct.

4 Q. The attachments referenced something called: MAC LTE  
5 workshop v3.

6 What is the MAC LTE workshop?

7 A. The MAC LTE workshop was an ad hoc that Ericsson  
8 arranged in Helsinki in October 2007 to try to progress some  
9 matters related to the 3GPP specification.

10 Q. And why were you forwarding slides -- well, let me ask  
11 you this: There's a number of people listed in the "to" line  
12 of the e-mail in the address. So were those the people  
13 involved in the workshop?

14 A. Those were some of the people involved in the workshop,  
15 those that I had the e-mail addresses of readily available.

16 Q. Are there other people that participated in the workshop  
17 that you know of or can remember now, that aren't listed  
18 there in the e-mail addresses? Mr. Sebire listed out who all  
19 these people are.

20 A. Yes. There are more people that were present at that  
21 workshop. There were a number of more delegates or  
22 representatives from Nokia and NSN.

23 Q. Do you remember any particular names that aren't listed  
24 here?

25 A. From the top of my head, I recognize -- or I can't --

1 yes -- Malkamaki and Lars Dalsgaard.

2 Q. Just like it sounds?

3 A. I believe so.

4 Q. Would you mind spelling it, please, sir?

5 A. Sorry. I cannot spell it.

6 Q. Okay.

7 A. Lars is spelled L-A-R-S. The last name, I regret I  
8 don't recall how to spell it.

9 Q. Okay. We're in the same boat.

10 MR. LUMISH: So let's turn to the slides, if we  
11 could. If we can go to Page 2 of this exhibit, 754, Page 2.

12 Q. (By Mr. Lumish) You see again a reference to the MAC LTE  
13 workshop, and there's dates there, 22nd through 23rd, October  
14 2007. What do those dates mean to you?

15 A. Those dates are the dates where we had our discussions  
16 on our LTE workshop.

17 Q. And there's an Ericsson logo on these slides in the  
18 bottom right corner. Do you know why that's there?

19 A. It's there because I produced this summary of our  
20 discussions.

21 Q. So are these slides that you yourself created then, sir?

22 A. I created these slides, and possibly there's maybe input  
23 from both Ericsson and other companies on them. But I  
24 created the slides.

25 MR. LUMISH: Can we turn to DTX-754, Page 3?

1 Q. (By Mr. Lumish) So it will be the next page of your  
2 exhibit if you're looking on the paper, sir.

3 And Mr. Schmoller is highlighting exactly what I wanted  
4 to show you here, which is down towards the bottom, a  
5 reference to when -- it says: BSR is triggered when UL-SCH  
6 resources are allocated and number of padding bits is larger  
7 than the BSR size.

8 Do you see that statement, sir?

9 A. I see that.

10 Q. Can you tell me, first of all, what padding bits means  
11 to you?

12 A. Padding bits is -- they are bits, which are not filled  
13 with data. So they are leftovers, and they aren't used for  
14 any particular purpose. They just -- we need to fill the  
15 protocol data unit up to the size that is expected by lower  
16 layers in the mobile device.

17 And to do that, we add some bits, put them 0's or 1's or  
18 a mix of 0's or 1's. It depends on how it is specified.

19 Q. You mentioned a protocol data unit. What is that?

20 A. That is the -- the units are blocks that are produced by  
21 the protocol layer and sent further down in the protocol  
22 stack to the lower layers to transmit them over the air.

23 Q. Is a protocol unit a type of packet, data packet?

24 A. Yes. We actually -- we have these service data units  
25 and protocol data units.

1           Service data units is where data from higher layers,  
2           from above, potentially user data, is coming in.

3           And protocol data units are where, after you have  
4           processed them and put them together in a certain format or  
5           arranged many different SDUs and some internal control  
6           information, and then you output them as PDUs, protocol data  
7           units. That's on the sending side.

8           On the receiving side, it's the opposite. Then you're  
9           receiving the protocol data units, and then you demultiplex  
10          and disassemble them and out comes service data units that  
11          you are sending further up.

12        Q.   Does the number of padding -- let me ask you a different  
13        question. I'll withdraw that.

14           Are you familiar with something called the uplink grant  
15        that would be provided to a phone or a tablet?

16        A.   Yes.

17        Q.   And can you tell us just briefly what your understanding  
18        of an uplink grant is?

19        A.   An uplink grant is an indication that the mobile device,  
20        the mobile phone or the tablet, has a right or permission to  
21        send something from the mobile device to the network. It  
22        describes how much data and in which -- how it should be  
23        encoded.

24        Q.   So, if the uplink grant says how much data can be sent,  
25        does that relate in any way to the number of padding bits



1 that may be found in a PDU or those protocol data unit  
2 packets?

3 A. Yes. If, for instance, the grant says that the UE, or  
4 the mobile device, should send 800 bits worth of data, and  
5 there's only data maybe in some 700 bits in the terminal that  
6 needs to be sent, then you'll have to add a hundred bits of  
7 padding.

8 Q. So the jury has heard a fair bit about something called  
9 a padding BSR or a padding buffer status report. Are you  
10 familiar with that concept?

11 A. I -- strictly speaking, there is no padding buffer  
12 status report.

13 Q. Are you familiar with the concept in the standard of  
14 putting a buffer status report in a protocol data unit packet  
15 to replace padding bits?

16 A. Yes, I am.

17 Q. Do you call that by any particular name?

18 A. We call it buffer status report triggered by padding or  
19 for padding --

20 Q. Okay.

21 A. -- for padding purposes.

22 Q. In the case we've been referring to that as a padding  
23 buffer status report. So if I do that again, you'll know at  
24 least what I'm talking about.

25 The sentence that we have up on the screen: BSR is

1 triggered when the UL-SCH resources are allocated and number  
2 of padding bits is larger than the BSR size.

3 Is there a check here to determine the space available  
4 in the protocol data unit or the uplink grant to determine  
5 whether the buffer status report should be triggered and  
6 sent?

7 A. Sorry. Could you repeat the first part of the question?

8 Q. Sure. It was long. I apologize.

9 Does the sentence I read relate to checking the space  
10 that's available in the uplink grant as it's reflected in the  
11 PDU?

12 A. Yes.

13 Q. In what way?

14 A. To know whether a buffer status report would fit in the  
15 PDU, but in the place of padding you would need to check how  
16 many bits are not used yet.

17 Q. If you tried to fit a buffer status report into the  
18 protocol data unit packet, that PDU packet, and there were  
19 not enough padding bits, what would happen?

20 A. You couldn't fit the entire report.

21 Q. Can you say that again? I'm sorry?

22 A. You couldn't fit the entire report.

23 Q. What would the logical thing -- well, let me ask you a  
24 different question.

25 If you tried to first send -- let me start over.

1           You're familiar with long and short form buffer status  
2 reports, I assume?

3       A.    Yes, I am.

4       Q.    If you tried to send a long form buffer status report to  
5 replace padding bits and it didn't fit, what would be the  
6 logical thing to do, in your mind?

7       A.    I would think that --

8           MR. CALDWELL:  Objection, Your Honor.

9       A.    -- the short --

10          MR. CALDWELL:  Objection, Your Honor.

11          THE COURT:  Mr. Stattin, hold on just a second --  
12 Dr. Stattin.

13          MR. CALDWELL:  He's offering expert testimony that  
14 hasn't been disclosed.  We can approach if you would like.

15          THE COURT:  Yeah, do.

16          (Bench conference.)

17          THE COURT:  Okay.

18          MR. CALDWELL:  Mr. Lumish asked him a question that  
19 was -- this isn't disclosed here, so what would be the  
20 logical next step in your mind?

21                So he's asking him to make sort of an obviousness  
22 type extension of what's in the document, and that hasn't  
23 been disclosed.

24                Just so you know, this man is literally not on  
25 their Rule 26 disclosures at all.  The only reason he's even

1 here period is because we're not going to raise tick-tack  
2 stuff. They incorporated by reference -- they incorporated  
3 by reference AT&T's -- or T-Mobile's, and I think one of them  
4 just says, you know, he was around for the 3GPP. That's  
5 fine.

6 But, I mean, the extension to try to draw  
7 inferences that should have been disclosed. And we,  
8 obviously, have a motion in limine on the expert testimony  
9 extensions.

10 MR. LUMISH: I'm not offering it as expert  
11 testimony. I'm not asking him to give an opinion. I'm  
12 asking as a percipient fact witness of ordinary skill in the  
13 art how he reads the document.

14 THE COURT: I'm not going to let him go into what's  
15 the logical next step --

16 MR. LUMISH: Okay. Thank you.

17 (Bench conference concluded.)

18 MR. CALDWELL: Your Honor, I know that he began  
19 answering that, and I don't know if we were talking over what  
20 the transcript looks like; but obviously, we -- I would like  
21 to clarify that the question and answer are stricken from the  
22 record. Because I was paying attention to you. I wasn't  
23 aware of how much he was starting to answer to the --

24 THE COURT: Okay. The jury will disregard the last  
25 question and answer.

1                   Let's continue, Mr. Lumish.

2                   MR. LUMISH: Thank you, your Honor.

3           Q.     (By Mr. Lumish) Can we turn to Defendants' Exhibit  
4     DTX-756 in your binder, sir? We'll bring up the cover of  
5     that on the screen for you as well.

6           We have the same e-mail address issue here, but do you  
7     recognize this e-mail, sir?

8     A.     Yes, I do.

9           Q.     It says in the subject -- or in the body there it says:  
10    Dear all, please find the raw slides from today.

11           How do you pronounce that name that I see there?

12    A.     Janne.

13    Q.     Janne?

14    A.     Janne.

15    Q.     Janne, okay. I'll do my best. I apologize.

16           And who is that person?

17    A.     Janne was the head of our RAN2 delegation.

18    Q.     And was Janne part of -- what's the last name? Let me  
19    ask that first.

20    A.     Peisa.

21    Q.     Peisa. And it's Mr. Peisa?

22    A.     It's Dr. Peisa.

23    Q.     Dr. Peisa.

24           Was Dr. Peisa involved in the MAC LTE workshop?

25    A.     He was.

1 Q. And what was his role?

2 A. He was arranging it.

3 Q. What do you mean by that?

4 A. On behalf of Ericsson he contacted the participants and  
5 invited them to the workshop. And he arranged the meeting  
6 venue so that we could be where we were. And he also -- I  
7 believe he chaired the meeting and provided notes and minutes  
8 from the meeting.

9 Q. So was -- who had the original idea, then, for the MAC  
10 LTE workshop?

11 A. It was an Ericsson idea.

12 Q. Now, there are attachments to this document as well. It  
13 says: MAC LTE workshop v3.zip.

14 Are these the same slides that we saw before, or are  
15 they different somewhat?

16 A. These, I believe, are different. These are the output  
17 from the entire workshop.

18 Q. And what do you mean by that? What's the -- what do you  
19 mean by the output from the entire workshop?

20 A. They -- they captured the agreements made during the  
21 workshop. On some topics we were able to draw some  
22 conclusions and companies could -- could agree on a common  
23 solution.

24 On certain other topics or details, there was no  
25 consensus.

1 But these slides, I believe, capture those things which  
2 were agreed.

3 Q. What was the process you went through in trying to reach  
4 consensus among the workshop members? Can you describe for  
5 us, and maybe put us in the moment, of what you guys were  
6 doing and how -- how you were doing it, please?

7 A. It was a long time ago; but as usual, at these kind of  
8 meetings, we presented the views. I presented part of the  
9 views from Ericsson.

10 I -- if I recall correctly, Janne presented some other  
11 views from Ericsson on another topic.

12 Other companies presented their views and their  
13 preferred solutions. And then we had long discussions on the  
14 pros and cons of different solutions and whether we could  
15 sort of align on particular solutions so that we could show  
16 that we had a common understanding of where to go with the  
17 standard.

18 Q. Did -- did everybody in the workshop contribute to the  
19 ideas that were being provided or was it a smaller subset?

20 A. I believe all companies at the workshop contributed to  
21 the discussions.

22 Q. And did you personally contribute to the discussions?

23 A. I did.

24 Q. And did you observe Dr. Peisa contributing to the  
25 discussions and -- and trying to reach consensus?

1 A. I did.

2 Q. Can I ask you to look at DTX-756, Page 9, please? And  
3 we'll bring it up on the screen.

4 There is a reference down towards the bottom. It says:

5 Would prefer two formats: Single byte format when only  
6 one radio bearer has data to transmit; multi-byte format when  
7 only several radio bearers have data.

8 Now, the jury has heard, I think, quite a bit about long  
9 and short form buffer status reports. Does this -- these  
10 statements here about formats relate to the notion of long  
11 and short form buffer status reports?

12 A. In my opinion, yes, they do.

13 Q. In what way?

14 A. A single byte format is a shorter format than the  
15 multi-byte format.

16 Q. And can you tell from the description here when one  
17 would use a single byte format versus a multiple byte format  
18 in the context of the workshop discussions?

19 A. Yes. This would indicate that in case there is data on  
20 only radio bearer or radio bearer group, then you would use  
21 the single byte format to avoid the overhead of reporting  
22 that there is no data on the other bearers.

23 And in case -- the second sub-bullet. In case you would  
24 have data on multiple radio bearers or radio bearer groups,  
25 then the multi-byte format would be used to convey



1 information about the data available on more than one group.

2 Q. At the very top of the slide, it says: Buffer Status  
3 Reporting. The buffers aren't mentioned in the sentences  
4 we've highlighted on the center of the screen there.

5 When you talk about radio bearers and radio bearer  
6 groups, does that relate to buffers and the status reports  
7 for those buffers?

8 A. Yes, it does.

9 And, generally, it was understood that there could be  
10 radio bearers and logical channels and queues and buffers.

11 And each queue or radio bearer or logical channel would  
12 have a buffer. And consequently, also, if you group the  
13 radio bearers and logical channels, there would be a buffer  
14 for the group of bytes.

15 Q. Let me ask you, please, to turn to Page 11. So DTX-756,  
16 Page 11. We'll bring that up on the screen for you.

17 This slide starts with High-Level Format Summary. And  
18 in the middle of the slide, it says: 2 formats. Can you  
19 tell from the slide, sir, what is -- what things or formats  
20 are being referred to here? Is it buffer status reports or  
21 something else?

22 A. It's buffer status report formats.

23 Q. So in the first part, it says: 2 formats, 1 byte format  
24 with Group-ID + Absolute size. And then it's -- the thing in  
25 parentheses is what I want to ask you about.

1           Is that the same short form buffer status report that we  
2 looked at a couple of slides earlier?

3       A.    That would be my understanding.

4       Q.    And what do you read "(if only one group to report)" to  
5 mean?

6       A.    That you would use this format if there's data in only  
7 one group, a radio bearer group or logical channel group.

8       Q.    And then it says: 3 byte fixed size format.

9           Is that the same long form buffer status report we  
10 talked about earlier?

11      A.    I believe so.

12      Q.    And if we jump down just a little bit on the page --

13           MR. LUMISH: Mr. Schmoller, could you bring up the  
14 last two bullets for me, please?

15           I want the -- yeah, that one as well. Thank you.

16      Q.    (By Mr. Lumish) So one says: Only one set of triggers  
17 for both formats.

18           Do you see that?

19      A.    Yes, I see that.

20      Q.    What does the word "triggers" mean to you here?

21      A.    The trigger here, to me, means the events that triggers  
22 the need or the transmission of a buffer status report. And  
23 it's not -- this trigger does not describe whether it's going  
24 to be a long or short. That's described based on the buffer  
25 status.

1 Q. That was my question. I wasn't sure if it related to  
2 the format selection or not.

3 Now, the next sentence says -- or the next bullet says:  
4 How to choose the format.

5 Is this then describing the selection criteria for  
6 choosing long versus short?

7 A. Yes.

8 Q. And it says: How to choose the format. Based on how  
9 many groups need to report, based on amount of data in the  
10 buffer.

11 When it says: Based on how many groups need to report,  
12 how do you read this slide in the selection criteria for long  
13 versus short buffer status reports?

14 A. I would read this as -- it would depend on how many  
15 groups were expected to be reported. And then the criteria  
16 for what is needed to be reported that -- it's a little bit  
17 vague here, and it -- my understanding is that may be so that  
18 companies didn't fully agree on the exact criteria at the  
19 meeting.

20 However, there was input to the meeting, as we saw on  
21 the previous page, on Page 9, I believe it was, where one  
22 solution was described. And I believe that was the Ericsson  
23 idea provided to the workshop.

24 Q. Tell us again, then, what you believe the Ericsson idea  
25 provided to the workshop was, as far as the selection

1 criteria for choosing short versus long buffer status  
2 reports.

3 A. My understanding is that the Ericsson idea was to report  
4 data for non-empty radio bearers. And that would be that you  
5 use a short report if there is data on one group or radio  
6 bearer, and you use a long format if there is data available  
7 for transmission on multiple radio bearers or radio bearer  
8 groups.

9 Q. And who put that idea, both on Page 9 and Page 11 of the  
10 slides, into this PowerPoint that we're looking at as  
11 Exhibit 756?

12 A. I believe Janne Peisa provided these slides, and he may  
13 have put them there.

14 Q. Do you believe it came from Ericsson or from companies  
15 other than Ericsson?

16 A. I believe that the first part on Slide 9, what we  
17 referred was coming from Ericsson, and the other part on  
18 Slide 11, that was the output or the contribution at the  
19 workshop. So that would be the joint.

20 Q. And I showed you the dates on the cover before. It was  
21 October 22nd through 23rd of 2007. Was it the first time --  
22 was the LTE -- MAC LTE workshop the first time that Ericsson  
23 had the idea of choosing a long or short buffer status report  
24 based on the number of radio bearer group buffers that needed  
25 to report data?

1 A. No, it was not.

2 Q. Do you know when the first time was?

3 A. To my understanding, we filed a patent application in  
4 the summer of 2006 suggesting the use of multiple different  
5 formats to improve efficiency and precision of buffer status  
6 reporting.

7 THE COURT: Mr. Lumish, in the next few minutes,  
8 whenever you get to a good stopping point, we need to take  
9 our morning break.

10 MR. LUMISH: Perfect time right now, Your Honor. I  
11 was about to switch documents.

12 Thank you.

13 THE COURT: Very good.

14 All right. Ladies and Gentlemen of the Jury, we'll  
15 be in recess until 10:45.

16 COURT SECURITY OFFICER: All rise.

17 (Jury out.)

18 THE COURT: I have to take care of a quick criminal  
19 matter on our break, so I just need you to make room for our  
20 incoming attorneys.

21 Thank you.

22 (Recess.)

23 (Jury in.)

24 THE COURT: Please be seated.

25 Mr. Lumish.

1 MR. LUMISH: Thank you, your Honor.

2 Q. (By Mr. Lumish) Dr. Stattin, I'd like to turn you now to  
3 DTX-569. And it's a document we've seen several times in  
4 this case, and it's been referred to, at least by me, as a  
5 joint proposal with Ericsson and others.

6 Do you recognize Exhibit 569?

7 A. I do.

8 Q. Did you have a role in the creation of Exhibit 569 --  
9 well, let me ask this, I can tell you're puzzled. Let me  
10 start with, is there a relationship between this document --  
11 let me start again.

12 Tell me what you understand this document to be.

13 A. My understanding is that this document is the output  
14 from -- or it's capturing the output from the -- this  
15 workshop and we made a joint contribution to the RAN2 Working  
16 Group.

17 Q. When you say "capturing the output from the workshop,"  
18 do you mean the MAC LTE workshop we talked about before?

19 A. Yes, I do.

20 Q. And when you said "we," do you include the people who  
21 are listed -- or the companies that are listed as sources on  
22 the top of Exhibit 569?

23 A. Yes, I do.

24 Q. Did the -- did you at least, and any other people at  
25 Ericsson by your observation, read and participate in the --

1 the -- the ideas that are provided in Exhibit 569?

2 A. Yes. We -- at Ericsson, we reviewed this document that  
3 was drafted by Mr. Sebire and checked that it was in line  
4 with the contributions at the workshop.

5 Q. And do you feel that you and Ericsson contributed ideas  
6 to this proposal, this joint proposal to the RAN2 Working  
7 Group?

8 A. Yes, I do.

9 Q. What ideas do you believe you helped contribute?

10 A. For instance, I believe we contributed the idea to use  
11 multiple different buffer status reporting formats.

12 Q. The --

13 MR. LUMISH: If we could turn to Page 2 of the  
14 exhibit, if you'll look at DTX-569, Page 2, and I'd like the  
15 text above and below.

16 Thank you.

17 Q. (By Mr. Lumish) So we've spent a fair amount of time in  
18 this case on these -- this part of the joint proposal as  
19 well. And I'll just look at some of the text at the top  
20 there. It says: Since it is not necessary to report the  
21 four RBGs always...

22 What does that mean to you?

23 A. It means that when there is no information about --  
24 nothing to report on all of these radio bearer groups, it  
25 could be that they're not -- some of them are not configured

1 or some of -- of that some of them have no data.

2 Q. So you have a statement in parentheses there, and I want  
3 to jump ahead to the end of that line. It says: It is  
4 proposed to introduce two formats of BSR: One where only one  
5 RBG is reported and one where all four RBGs are.

6 And then it describes the number of bits and refers to  
7 the figures below it. Do you see that?

8 A. I see that.

9 Q. And did Ericsson have any role in the text I just read  
10 and the figures that we see below of the short and long form  
11 buffer status report?

12 A. It looks consistent with ideas we've had at Ericsson.

13 Q. And then you have Proposal 6 at the bottom. It says:  
14 There are two types of BSR, a short BSR reporting the status  
15 of one RBG only, 1 byte long; and a long BSR reporting the  
16 status of the four RBGs, 3 bytes long.

17 Do you see that?

18 A. I see that.

19 Q. And does this text and looking at the figures tell you  
20 when you would choose a long and when you would choose a  
21 short as a reader of the joint proposal?

22 A. If you only read the Proposal 6 and the figures -- and  
23 the figures, then it doesn't necessarily tell you-all about  
24 when to use which.

25 Q. Would you know at least, though, you would use the short



1 form buffer status report if there was only one radio bearer  
2 group that had data in the buffers to report?

3 A. Could you repeat that question, please?

4 Q. Sure.

5 The -- we start with the figure. It says: Buffer size,  
6 6 bits.

7 Do you see that?

8 A. I see that.

9 Q. And is that a size that's intended to hold data from one  
10 buffer or more than one buffer?

11 A. It's there to show our -- disclose the amount of data  
12 available on one radio bearer group.

13 Q. One radio bearer group. Is that different from buffer,  
14 though? I want to make sure we're on the same page with  
15 that.

16 A. Depends on how you read it. It's a buffer size of --  
17 pertaining to an aggregate of a number of radio bearers.

18 Q. And then -- but these are buffer status reports, so  
19 they're reporting on buffer data for radio bearer groups; or  
20 do I have that wrong?

21 A. It's -- what is meant here is that it's the sum of the  
22 buffers of the individual radio bearers in the one group. So  
23 it's the amount of data available for transmission on all  
24 other radio bearers within a group collectively.

25 Q. And then it's -- the text that's highlighted in green

1 below says that: The short BSR reporting the status of one  
2 RBG only.

3 Does that tell you when you would use the short buffer  
4 status report as far as how many radio bearer groups were  
5 reporting data?

6 A. In the view of some it would. And I think different  
7 readers may have different understandings of that.

8 Q. Okay. What does it tell you?

9 A. To me, it was in line with the Ericsson proposal to have  
10 a short buffer status report if you would have data only on  
11 one radio bearer group.

12 Q. And is it in line with your view of when you would  
13 have -- when you would use the long buffer status report?

14 A. It's in line with that.

15 Q. And when would you use the long buffer status report  
16 again?

17 A. When you have data on more than one radio bearer group.

18 MR. LUMISH: If we could go to DTX-757, please.

19 Q. (By Mr. Lumish) I don't know if you've seen this one  
20 before. It's an e-mail from Mr. Sebire, and it's to a number  
21 of people.

22 By the way, I don't think this e-mail does it, but I  
23 wanted to ask you one question, which is there's some e-mails  
24 where your name is referenced as magnus.lindstrom instead of  
25 magnus.stattin; is that right?

1 A. Yes.

2 Q. And can you tell us why that is?

3 A. Yes. When I married, my wife had a name, which was not  
4 very common. Her maiden name was not very common. And my  
5 name was -- last name was Lindstrom. It was a very common  
6 name, and she didn't really feel like changing to a more  
7 common name than she already had.

8 So we looked into our families' history, and we found  
9 out the maiden name of my grandmother on my father's side, it  
10 was a rather uncommon name, which was disappearing from our  
11 branch of the family, so we decided to change names, both of  
12 us, to Stattin instead of taking either Lindstrom or my  
13 wife's maiden name.

14 MR. LUMISH: So, if I now can go back to  
15 Defendants' Exhibit 757, please.

16 Q. (By Mr. Lumish) It's an e-mail from Mr. Sebire to the  
17 working group. We've seen this before here in the trial.

18 But I wanted to direct your attention to a sentence at  
19 the top that CCE's counsel has focused on.

20 The e-mail says: Attached please find a contribution on  
21 the BSR I quickly drafted based on Samsung & Nokia-NSN  
22 previous contributions.

23 Do you see that?

24 A. I see that.

25 Q. So, Dr. Stattin, you'll see that there is, I think, an

1 early draft of the joint Ericsson contribution that begins on  
2 the next page, DTX-757, Page 2.

3 Did you read what Mr. Sebire was saying here to mean  
4 that all the ideas in the joint contribution came only from  
5 Samsung and NSN?

6 A. No, I did not.

7 Q. How did you read it, if you recall, when he said: Based  
8 on Samsung and Nokia-NSN proposal?

9 A. I understood it to mean that he had used parts or pieces  
10 of text coming from those previous contributions to describe  
11 certain aspects.

12 And then in addition to that, the proposals, they were  
13 describing the conclusions from our MAC workshop.

14 Q. And then counsel -- we've both actually looked at with  
15 the jury the third bullet down in the e-mail body.

16 It says: The selection of which BSR (long/short) is not  
17 discussed (running out of steam now.)

18 Do you see that?

19 A. Yes.

20 Q. Do you recall reading that when you got it from  
21 Mr. Sebire?

22 A. Yes.

23 Q. And what did you take "(running out of steam now)" to  
24 mean?

25 A. He was on a flight back from Helsinki. I believe it was

1 a long-haul flight, so I took it to mean that he was tired  
2 and didn't have the energy to complete all aspects of what we  
3 had contributed at the meeting.

4 Q. Did you take it to mean that Mr. Sebire hadn't yet  
5 conceived of all of the ideas for selecting long versus short  
6 buffer status reports on his own and separate from the  
7 workshop?

8 A. Now, that, I don't know. I know that we discussed this,  
9 how to select long and short at the workshop, but he did not  
10 include that in the contribution for some reason.

11 My take on it was, from reading this, that he was too  
12 tired to do it and that he didn't feel it was urgent to  
13 propose that in 3GPP at that moment. We had made substantial  
14 progress or consensus in this group of companies to drive  
15 progress in 3GPP, and then we could add this aspect later.

16 Q. So you mentioned that you had the ideas before the  
17 workshop and during the workshop of selecting a short buffer  
18 status report when there's only one buffer to report data and  
19 a long when there was more than one. Did you share those  
20 ideas with the workshop members?

21 A. We did.

22 Q. Now -- and did that include Mr. Sebire?

23 A. Yes.

24 Q. I want to turn your attention, please, to Plaintiff's  
25 Exhibit 296.

1           And this is an e-mail. It says it's from, as I read it,  
2 Dr. Peisa to Mr. Sebire, and I believe it cc's the Workshop  
3 Group. And it's really the first sentence there that I want  
4 to focus your attention on.

5           It says: Thanks a lot for the contribution, excellent  
6 work.

7           Do you see that?

8 A. I do.

9 Q. Did you take that to mean that Ericsson or Dr. Peisa was  
10 acknowledging in some way that Mr. Sebire had conceived of  
11 all of the ideas in the Ericsson joint proposal all on his  
12 own?

13 A. No.

14 Q. What did you take it to mean?

15 A. I understood it to mean that we were very grateful that  
16 someone -- in this case, Mr. Sebire -- had taken the time to  
17 put down in a joint contribution form the conclusions and  
18 suggestions from the -- our joint workshop.

19 Q. Can you turn to Defendants' Exhibit 570 for me, please?

20           And I would like you to look at it on the binder so you  
21 may want to compare them.

22           We've done this for the jury before. I won't do it  
23 again in any detail, but what you see on the screen now and  
24 in your binder is the cover of the Ericsson proposal -- the  
25 joint Ericsson proposal, I should say, Defendants'

1 Exhibit 570. That's color-coded.

2 Do you see that?

3 A. Yes.

4 Q. And I won't ask you to do this, in the interest of time,  
5 because I want to make sure CCE's lawyers have a chance to  
6 ask you their questions so we can get you home to Sweden  
7 tomorrow.

8 But if you look at 572 [sic] and you flip through it,  
9 you'll see color-coding in what is the original application  
10 filed for the patent application in Mr. Sebire's name.  
11 Have you seen Exhibit 572 [sic] before?

12 A. Not in this moment.

13 Q. And you'll see the color-coding there. I'll just  
14 represent to you, sir, so you don't have to do the work, that  
15 the colors match up -- and we've shown this to the jury  
16 already -- they match up to the joint Ericsson proposal.

17 Before you got pulled into this lawsuit, did you know  
18 that the text from the joint Ericsson proposal had been  
19 copied into a patent application for Nokia?

20 A. No, I did not.

21 Q. What was your reaction when you found out?

22 A. Surprised.

23 Q. I've got a lot more questions for you, but I'm very much  
24 interested in making sure you get home, so I'm going to just  
25 jump to the end here.

1           When CCE's lawyer comes up in a couple of moments, I  
2           think he's going to ask you eight ways to Sunday and maybe in  
3           a dozen different ways whether the people in the Working  
4           Group and the 3GPP sit around and talk with each other about  
5           all of their patents.

6           You don't do that, do you?

7           A.    We don't do that.

8           Q.    But that's not really what I want to know.  What I'd  
9           really like to understand is:  Would you put your name, and  
10          only your name, on a patent application that covered the  
11          combined efforts and contributions of a team of people?

12          A.    No, I would not do that.

13          Q.    Why not?

14          A.    Because it's not my -- only my work.  It's not only my  
15          ideas.

16          Q.    If you had worked with a team of people to come up with  
17          ideas and you thought you should be able to patent some of  
18          those just to yourself, do you think you would talk to them  
19          about it before you did that?

20          A.    I would.  I would check whether they felt that they had  
21          contributed.

22          Q.    And did Mr. Sebire or anybody from Nokia ever ask you  
23          whether you thought you should be named as an inventor on the  
24          '820 patent?

25          A.    No.



1 Q. Sir, I want to -- on behalf of my client, Apple, I want  
2 to extend our sincere thank you to you. I know this has been  
3 a terrible imposition for you. I hope you enjoyed your time  
4 here in Texas. Thank you very much for your testimony.

5 MR. LUMISH: Pass the witness, your Honor.

6 THE COURT: All right.

7 CROSS-EXAMINATION

8 BY MR. CALDWELL:

9 Q. Good morning, Mr. Stattin.

10 A. Good morning.

11 Q. How are you?

12 A. Fine. Thank you. How are you?

13 Q. Fine.

14 Were you suggesting to the jury that you had never  
15 talked with Apple's lawyers?

16 A. No.

17 Q. You have talked with Apple's lawyers prior to your  
18 testimony, correct?

19 A. I talked to Apple's lawyer on a video call.

20 Q. On a video call, what, was it about a week ago, ten days  
21 ago, something of that nature?

22 A. Probably two weeks ago.

23 Q. You didn't mean to suggest that you had not talked with  
24 Apple's lawyers to prepare at all for this trial, correct?

25 A. No.

1 Q. And we've actually not met, correct?

2 A. Excuse me?

3 Q. You and I have actually not met.

4 A. We have not met. I met Mr. Cecil.

5 Q. Right. Well, my name is Brad Caldwell, and I represent  
6 CCE. It's nice to meet you.

7 Do you feel it's important for you to be here on behalf  
8 of Ericsson today?

9 A. I was asked to but not to be here today by Ericsson  
10 Legal.

11 Q. Just -- do you feel it's important for you to be here  
12 today?

13 A. It was -- my employer asked me to, so I feel that it is  
14 important that I do what I'm asked to do.

15 Q. Now, just to get one thing real clear, in your testimony  
16 you did not tell the jury that you or Ericsson or anyone else  
17 at any of those meetings contributed to something that  
18 Mr. Sebire claimed in his patent claims, correct?

19 A. I don't know because I haven't read his patent  
20 application. And I haven't studied it in detail.

21 Q. So is it correct that you did not tell the jury that you  
22 or Janne or anyone else at the meeting contributed anything  
23 to Mr. Sebire's patent claims?

24 A. I don't know.

25 Q. You don't know if you told the jury?

1 A. I didn't tell the jury that we did not, and I didn't  
2 tell the jury that we did, because I haven't studied the  
3 application.

4 Q. Are Apple and Ericsson adversaries?

5 A. Not that I know of.

6 Q. I mean, you're familiar with Apple, correct?

7 A. I am.

8 Q. Are they competitors? Do they compete, for example, in  
9 the base station market?

10 A. No.

11 Q. Are you aware of any markets where Apple and Ericsson  
12 compete?

13 A. Not immediately.

14 Q. Are Ericsson and Nokia-NSN competitors?

15 A. They are two vendors in the same market.

16 Q. Is that yes? They are competitors?

17 A. In some sense -- in some sense we are competitors, yes.

18 Q. Before LTE was released, did Mr. Sebire's company notify  
19 the other participants in the standard setting community that  
20 a patent application had been filed on his idea?

21 A. I don't know.

22 Q. So am I correct that you are not here contending that  
23 Mr. Sebire has patent claims on something that is not his  
24 idea? Am I correct about that?

25 A. I don't think that's my responsibility.

1 Q. Am I correct that you are not here making that claim,  
2 sir?

3 A. I'm not making any claims. I am here to provide the  
4 facts I know.

5 Q. You've seen a joint proposal that counsel for Apple  
6 usually refers to as the Ericsson proposal and sometimes  
7 refers to as a joint Ericsson proposal. Do you remember  
8 that?

9 A. I do.

10 Q. Do you think it's fair to call that proposal an Ericsson  
11 proposal?

12 A. I think it is a joint proposal. It's a joint  
13 contribution by the companies listed on the source.

14 Q. So for purposes of presenting the facts to the jury, is  
15 it more accurate to call it a joint proposal or an Ericsson  
16 proposal?

17 A. I'm not a lawyer, so I wouldn't know.

18 Q. Would you call it an Ericsson proposal, sir?

19 A. I would call it a joint proposal or an Ericsson proposal  
20 or something proposal.

21 Q. So you would call it an Ericsson proposal?

22 A. That's a possibility.

23 Q. Did Ericsson draft it?

24 A. Ericsson did not draft the document.

25 Q. Now, when a proposal is joint -- and let's say

1 Ericsson's name is on it -- does that mean that Ericsson  
2 conceived of the ideas in the proposal, or does it mean that  
3 Ericsson is willing to co-sponsor or co-sign the proposal?

4 A. That varies.

5 Q. You can't tell from Ericsson's name being listed on the  
6 cover as one of the sponsors whether or not that means  
7 Ericsson contributed to the ideas, correct?

8 A. No, you cannot.

9 Q. I think this may have been dealt with just -- aptly at  
10 the end of your direct. But just to be clear, when you go to  
11 these meetings, do you tell Mr. Sebire and other folks at the  
12 meeting that you filed a patent application?

13 A. No, I don't.

14 Q. And is that because the goal of the meetings is to work  
15 towards the best technical standard?

16 A. It's because the meetings are focused on the technical  
17 aspects.

18 Q. Would you agree that the companies in the working group  
19 make decisions based on the contributions, not patent  
20 applications?

21 A. The group doesn't see patent applications so decisions  
22 and product specifications are made based on contributions.

23 Q. And, Mr. Stattin, some ideas are rejected, right?

24 A. Yes.

25 Q. As the rapporteur, did you get to just force ideas in to

1 the standard without discussion and approval?

2 A. No. No, I did not.

3 Q. And so sometimes someone proposes an idea, and they  
4 explain why they like it, and does the group say, no, we  
5 don't agree? Does that happen?

6 A. It happens.

7 Q. Do sometimes companies present competing proposals of  
8 how to solve the problem?

9 A. Yes, they do.

10 Q. And do you just put the two competing results in the  
11 standard, or do you figure out which one is best?

12 A. That is what I devised this long -- at times very long  
13 discussions would go on for many meeting cycles. Some  
14 disagree, but one tries to find a common way for trying to  
15 form a consensus for which to deduce.

16 Q. Now, are -- in that situation when people are having  
17 that debate, are the participants in the working group  
18 prevented from speaking their mind about what they think is  
19 the best proposal?

20 Do you ever tell someone they have to stay quiet?

21 A. No, never.

22 Q. Do they get a chance to say, I like this proposal or I  
23 like that proposal?

24 A. Yes.

25 Q. Do they get to say, you're proposing something that

1 we've already rejected before?

2 A. They can say that, but it depends on -- on the group  
3 whether that is still considered again or not.

4 Q. Do you file patent applications on ideas that you  
5 contribute to a working group?

6 A. Yes.

7 Q. And I won't ask you any specifics. This is just a  
8 yes/no question. Do you get compensated by your company when  
9 you file patent applications?

10 A. Yes.

11 Q. When you file the patent application, as a delegate, is  
12 it part of your duty that you need to stand up and interrupt  
13 the meeting -- or sit and interrupt the meeting -- and tell  
14 the other members that you've got relevant intellectual  
15 property rights you're pursuing?

16 A. No.

17 MR. CALDWELL: Can I see Plaintiff's Exhibit 149 at  
18 Page 25?

19 Actually, if we could just go to the first page.  
20 I'm sorry there, Mr. Evans.

21 Q. (By Mr. Caldwell) Do you recognize the document that's  
22 shown on the screen, sir?

23 A. I do.

24 Q. 36.321, that was the portion of the standard where you  
25 were rapporteur, correct?

1 A. That's correct.

2 Q. When was that portion of the standard finalized?

3 A. It's still being developed and -- and evolved. But the  
4 first version was, to my recollection, completed -- I believe  
5 it was in December, 2008.

6 Q. Now, are you familiar with the contents of this  
7 document?

8 A. Yes, I am.

9 MR. CALDWELL: Could I see Page 25.

10 Q. (By Mr. Caldwell) Are you familiar with the Section  
11 5.4.5, buffer status reporting?

12 A. Yes.

13 Q. And then starting partway down, do you see that there's  
14 a description of buffer status report shall be triggered? Do  
15 you see that?

16 A. I see that, yes.

17 Q. Is there a difference between triggering a buffer status  
18 report and having criteria to determine whether it is long or  
19 short?

20 A. Yes.

21 Q. What is that difference, sir?

22 A. The triggering is related to whether the buffer status  
23 report shall be transmitted at all, whereas the selection  
24 about which format to use is based on other criteria.

25 Q. Has Mr. Sebire ever said, I claim to have invented



1 triggering buffer status reports?

2 A. I don't know. Not to me.

3 Q. Are you aware -- do you have any reason to think that in  
4 this court case he has taken the position that he invented  
5 triggering of buffer status reports?

6 A. I don't know. I haven't -- haven't been here in  
7 listening to Mr. Sebire.

8 MR. CALDWELL: Can we flip to the next page, sir?

9 Just kind of grab maybe the top 40 percent of the  
10 page, something like that.

11 Q. (By Mr. Caldwell) Now, do you see these portions of  
12 5.4.5?

13 A. Yes.

14 Q. And it's -- I don't want to read it all, but: For  
15 regular -- I'll read a portion of it. Is that okay?

16 For regular and periodic buffer status reports: If more  
17 than one LCG has data available for transmission in the TTI  
18 where the BSR is transmitted, report long BSR; else report  
19 short.

20 Correct?

21 A. Yes.

22 Q. And then the next one is: The number of padding bits is  
23 equal to or larger than the size -- and it goes on.

24 Were you the rapporteur who put this in the standard?

25 A. I don't recall when this was put into the standard and

1 whether I drafted the document doing that. Up to the point  
2 where the document -- the specification was put under change  
3 control, I was providing the text and the input.

4 And after it was put under change control, then also  
5 other companies could propose change requests to the  
6 specification.

7 Q. Just to be real clear, when you say you drafted the  
8 document putting this into the standard, are you saying,  
9 after someone proposed it, you typed it into 36.321, or are  
10 you saying you're the person who proposed that text for  
11 inclusion in 36.321?

12 A. I didn't quite follow. Did I say that I drafted the  
13 text?

14 Q. I thought you did.

15 A. I believe I said that up to the point that the  
16 specification was put under the change control, I drafted  
17 document, the text which went into the specification.

18 After that point, it was also possible for other  
19 companies to propose changes and change requests.

20 Q. And I'm not talking about, like, the possibility of  
21 change requests at the end. I just want to be real clear.

22 When this text that's in the area -- when this text  
23 that's in that area (indicating) was added into 5.4.5, are  
24 you the person who came up with the text that would be  
25 included in 5.4.5 or not?

1 A. I don't recall.

2 Q. Is that a contribution from Benoist Sebire?

3 A. Which part?

4 Q. The same portion, sir. (Indicating.) Is that a  
5 contribution from Benoist Sebire?

6 A. I believe this is a contribution that is containing the  
7 parts that was agreed in this workshop, plus some parts which  
8 may have been added later.

9 Q. By whom?

10 A. I don't recall.

11 May I ask for clarification of the question?

12 Q. Certainly.

13 A. Do you mean by whom -- who wrote the text that went into  
14 this specification or the --

15 Q. Yes, sir.

16 A. -- who contributed the proposal to 3GPP or who disclosed  
17 the idea the first time?

18 Q. Who wrote the text that's in the specification?

19 A. If this was prior to releasing and the closure of the  
20 release, then it might have been myself.

21 Q. It might have been you?

22 A. (Nods head affirmatively.)

23 Q. You're not telling the jury that it was you; you're just  
24 saying that's a possibility?

25 A. Depends on when it was done. I didn't go back and

1 check.

2 Q. All right. Now, the next option -- who put that in a  
3 proposal -- that information, that criteria in a proposal to  
4 3GPP?

5 A. The -- some of the text was put in a proposal to 3GPP by  
6 these joint companies, these companies in the joint  
7 contribution.

8 Q. Anyone else separate from that joint contribution?

9 A. As I said, I don't recall all of the details on what  
10 happened as to that.

11 Q. Do you recall showing us these -- do you recall showing  
12 us these PowerPoint-type presentations that had some Ericsson  
13 logos on them? They were, I think, Defendants' Exhibits 754  
14 and 756.

15 And the question is just whether you recall showing  
16 these to us.

17 A. Sorry. What was the question?

18 Q. Do you recall showing the jury Defendants' Exhibits 754  
19 and 756 that had the Ericsson PowerPoints?

20 A. I recall they were shown to the jury, yes.

21 Q. What is the significance of text that is in bold versus  
22 text that is not in bold in those presentations?

23 A. My understanding is that the text in bold is the  
24 conclusion -- is the conclusion from the workshop; whereas,  
25 the text not in bold are parts which were discussed but may

1 not have been accepted by all companies.

2 Q. Or maybe it's unanswered questions that need to be  
3 addressed, correct?

4 A. Oh, I understand that a lot of the text was input to the  
5 workshop.

6 Q. My question is this, though: If there are things that  
7 are not in bold, it may represent unanswered questions that  
8 still need to be resolved, correct?

9 A. If there is a question mark, yes.

10 Q. And we've seen a question mark in an unbolded sentence  
11 in this case, haven't we?

12 A. Where?

13 MR. CALDWELL: Can you flip to 756-011, sir?

14 Q. (By Mr. Caldwell) Do you remember this page,  
15 Mr. Stattin?

16 A. I do.

17 Q. The group specifically left unresolved "what to do when  
18 short format fits but long one does not," correct?

19 A. That was -- there was no conclusion on that.

20 Q. And there's no place we can flip to in this document to  
21 find an answer to that question, is there?

22 A. I don't believe that there is in this document, no.

23 Q. Are you aware of any other documents that answer that  
24 question before Mr. Sebire did?

25 A. I don't -- I'm not aware of a document presenting that,

1 and I'm not aware whether Mr. Sebire did or not.

2 Q. Do you have any reason to believe --

3 MR. CALDWELL: I'm sorry. Thank you.

4 Q. (By Mr. Caldwell) Do you have any reason to believe that  
5 Mr. Sebire has ever taken credit for inventing the concept of  
6 having short buffer status reports and long buffer status  
7 reports?

8 A. No.

9 MR. CALDWELL: Could we see Defendants' Exhibit  
10 569, please?

11 All right. Let's just kind of zoom in the top  
12 third, if you don't mind, Mr. Evans.

13 Q. (By Mr. Caldwell) Are you familiar with this document,  
14 sir?

15 A. I am.

16 Q. This is the document that Apple's lawyers sometimes call  
17 the Ericsson proposal, correct?

18 A. Yes.

19 Q. Who prepared this document?

20 A. It was drafted by Mr. Sebire and capturing the  
21 conclusions and the proposals that the -- the conclusions of  
22 the group at this MAC workshop.

23 Q. And are you here on behalf of Ericsson taking credit for  
24 the conclusions that are repeated in this document?

25 A. That's not why I'm here.

1 Q. After the meeting that we discussed that was around  
2 October 22nd and 23rd, was Ericsson tasked with focusing on  
3 the triggering aspects of buffer status reporting?

4 A. If I recall correctly, yes.

5 Q. And that's different from the determination criteria,  
6 correct?

7 A. Yes.

8 MR. CALDWELL: Can we flip to the second page and  
9 grab the middle of the page?

10 Perfect.

11 Q. (By Mr. Caldwell) Now, I'm sorry that the imagery is a  
12 little spotty. Can you -- can you make it out okay? It's  
13 got -- the lines are a little faint, but can you make it out?

14 A. Yes.

15 Q. I apologize for that. I think it was used maybe in a  
16 deposition and copied and scanned. So sorry about that.

17 Who drew the figures, Figure 1 and Figure 2, that are in  
18 this document?

19 A. I don't know.

20 Q. Now, if Mr. Sebire drew those figures, would you  
21 challenge that? Would you dispute it?

22 A. No. I -- I would only note that these figures do  
23 describe what we saw on some other slides captured in text.  
24 And this is a figure capturing the same thing.

25 Q. I'm asking about the figures. I'm not arguing with you

1 about that point. I'm asking who drew the figures.

2 A. I don't know.

3 Q. If Mr. Sebire drew these figures, would you reuse them  
4 in an Ericsson-only document and pass them off as Ericsson  
5 figures?

6 A. If a figure in a joint contributions, yes, I would use  
7 them because these figures are -- these are joint  
8 contributions, so it's the -- the work and the output from  
9 our joint efforts.

10 Q. But would you be sure to say, hey, a guy from NSN drew  
11 these pictures?

12 A. In 3GPP, we typically reuse figures because that's part  
13 of our normal work.

14 Q. What about if you reused them in a patent application?  
15 Would you be sure to say, you know, some guy from NSN drew  
16 these figures?

17 A. If I would reuse something then -- if I'm reusing  
18 something from prior art, then it is described as prior art.  
19 And if I have used some figure -- it depends on the context.

20 Q. So you don't know?

21 A. I don't know.

22 Q. Would it surprise you if this figure were almost  
23 identically reused in an application that names you as an  
24 inventor and --

25 A. No.



1 Q. -- does not mention Mr. Sebire?

2 A. Not -- no, I wouldn't be too surprised.

3 Q. You can see at the top of the screen -- if I can work  
4 this thing -- there's a reference to Proposal 5. Do you see  
5 that?

6 A. Yes.

7 Q. Okay. And I think -- I'll admit that I have trouble  
8 reading these documents when they first come out. But am I  
9 correct, just for context, that Proposal 5, where it says  
10 Proposal 5, is actually referring to what's above the bold  
11 word "Proposal 5"?

12 A. Could you say that again?

13 Q. Sure. I'm -- it was probably a dense question. Let me  
14 ask you slightly differently.

15 This says "Proposal 6" down here (indicating), do you  
16 see that?

17 A. Yes.

18 Q. And you'll agree with me that this (indicating) is what  
19 it's talking about is Proposal 6, not what's further down on  
20 the page below?

21 A. I have to look at the contribution --

22 Q. No problem.

23 A. -- completely.

24 Q. Yes, sir. It's No. 569.

25 MR. CALDWELL: In fact, would you zoom out? That

1 might help out, actually.

2 A. It's a new section of Proposal 6, so I think it's fair  
3 to say what is below Proposal 6 is a part of something else,  
4 but it could be related.

5 Q. (By Mr. Caldwell) Fair enough. I'm not disputing that  
6 point.

7 MR. CALDWELL: Can you just slide it up just a tiny  
8 bit?

9 That's fine. Thank you.

10 Q. (By Mr. Caldwell) So all I'm getting at is the simple  
11 point that where Proposal 6 is presented in this paper is in  
12 the area I've just marked in red.

13 A. Yes. The text leading up -- the text after Proposal 5  
14 seems to be leading up to Proposal 6.

15 Q. Would you agree with me that this merely had proposed  
16 that there are two types and two formats, and it does not say  
17 under which conditions we use them?

18 A. This text does not include the aspects of which to use  
19 that was discussed in this joint workshop, no.

20 Q. This text merely had proposed that there are two types  
21 and two formats and does not say under which conditions we  
22 use them, correct?

23 A. Yes. This text does not disclose that.

24 Q. You mentioned that you've got a lot of patents, sir.

25 A. Yes.

1 Q. You said something earlier about providing background  
2 information in a patent. Or context information. Do you  
3 recall describing that generally?

4 A. No.

5 Q. Let me ask you a different question, then.

6 Are you familiar with what a claim of a patent is?

7 A. I'm familiar that there are claims, but I'm not a patent  
8 lawyer.

9 Q. Do you know what the significance of the claims of a  
10 patent is?

11 A. I'm not a patent lawyer.

12 Q. Was a separate contribution to 3GPP made to present  
13 criteria for choosing between short and long buffer status  
14 reports?

15 A. I don't recall.

16 Q. Have you looked for that in preparing to testify to the  
17 jury today?

18 A. No, I have not.

19 Q. Now, if a separate proposal was made to show criteria  
20 for choosing between long and short buffer status reports, is  
21 that something that we could find publicly available on the  
22 Internet?

23 A. If there were documents contributed to 3GPP, you should  
24 be able to find that.

25 Q. When you had your video conference with Apple's lawyers,

1 were you shown any subsequent contribution where criteria for  
2 choosing short or long buffer status reports was contributed?

3 A. No.

4 MR. CALDWELL: Could we see Defendants' Exhibit  
5 DTX-567.

6 Just kind of grab the top third of it, sir.

7 Q. (By Mr. Caldwell) Have you ever seen this contribution,  
8 R2-080015, sir?

9 A. I may have, long time ago.

10 Q. You don't remember it as you sit here today, correct?

11 A. Correct.

12 Q. Do you have any doubt that the contributions of this  
13 document came from Nokia or Nokia Siemens Networks?

14 A. I believe this document was produced and that --  
15 submitted to 3GPP by Nokia Siemens Networks.

16 Q. But you're not here taking the position that the  
17 contents did not come from Mr. Sebire, are you?

18 A. I haven't read the contribution now, so I don't know  
19 what is the content. I would only speculate based on the  
20 title. And I'm not here to speculate.

21 Q. Fair enough.

22 But you're also not here under oath telling the jury  
23 that you or your colleagues at Ericsson came up with the  
24 ideas in this contribution, are you?

25 A. I don't know. I haven't studied this contribution in a

1 very long time.

2 Q. Did it cross your mind before you testified to go look  
3 at the 3GPP website and see if there were other related  
4 contributions to the issues that we're talking about in this  
5 trial?

6 A. I was looking at contributions prior to those -- that we  
7 had been discussed before, not after.

8 Q. Would you agree that this proposal introduces criteria  
9 for short and long BSR, DTX-567?

10 A. I don't know. I haven't studied it. And I have  
11 difficulty reading it on the screen. And it's not in my  
12 binder.

13 Q. And will you --

14 MR. CALDWELL: Do we have 567?

15 A. And it would probably take me some time to study it.

16 Q. (By Mr. Caldwell) Well, about how long do you think it  
17 would take you to study it?

18 A. I don't know.

19 Q. Okay. I mean, I'm happy to give you a copy of it. I  
20 don't have a problem with that, so...

21 MR. CALDWELL: Mr. Lumish, do you have 567  
22 somewhere?

23 May I approach the witness and hand him this  
24 binder, Your Honor?

25 THE COURT: Yes.

1 Q. (By Mr. Caldwell) Now, I know we have a limited amount  
2 of time because I know we're ending at noon today, and you're  
3 taking off in the morning, correct?

4 A. Correct.

5 Q. Just suffice it to say, you haven't studied Defendants'  
6 Exhibit 567, correct?

7 A. Correct.

8 Q. And you're not here taking a position claiming any  
9 portion of Defendants' Exhibit 567, correct?

10 A. What does that mean?

11 Q. You're not here claiming to have invented or conceived  
12 of the content of Defendants' Exhibit 567, correct?

13 A. I'm not -- I was not asked to come here to do that. But  
14 if I look at this, the first paragraph in Section 2, it looks  
15 very much like what Ericsson suggested in the joint MAC  
16 workshop.

17 Q. Was this proposal ever discussed in the Working Group?

18 A. I don't recall if it was discussed prior to this  
19 document was submitted in the Working Group. I know it was  
20 discussed at this joint workshop among those companies.

21 Q. And that's not reflected in the presentation, is it?

22 A. In which presentation?

23 Q. That this proposal was discussed. That is not reflected  
24 in the Ericsson PowerPoints we've seen, is it?

25 A. It is -- I believe there were a couple of bullets

1 listing the criteria for selecting long or short, and it  
2 was -- I believe it was mentioned that there was a preference  
3 to do it in a certain way, and I believe that was the  
4 Ericsson input.

5 Q. And we also discussed, didn't we, that the decision of  
6 what to do when certain things don't fit was left as an  
7 unresolved, unanswered question, correct?

8 A. There was no consensus from that workshop.

9 Q. It was left as an unanswered question, correct?

10 A. From the collective -- from the group point of view, it  
11 was left as an unanswered question. There may have been  
12 suggestions and ideas on how to handle it from different,  
13 individual companies.

14 Q. So, Mr. Stattin, who presented this proposal, the  
15 DTX-567, to the Working Group?

16 A. I don't recall. It was a very long time ago. It was in  
17 2008, it appears here; and now it is 2016, eight years ago.

18 And we present typically up to a thousand contributions  
19 per meeting.

20 Q. Now, is there any way to check and see if it was  
21 presented at a meeting?

22 A. Possibly.

23 Q. And how might we do that?

24 A. You could look in meeting minutes, if that is captured,  
25 but it has not always been.

1 Q. What would you expect the meeting minutes to reflect if  
2 this proposal were discussed at a meeting?

3 A. I don't know. The style of meeting has varied and  
4 changed over the years. So this was something eight years  
5 ago. I don't recall.

6 MR. CALDWELL: Your Honor, may I approach the  
7 witness and Mr. Lumish as well?

8 THE COURT: Yes.

9 Q. (By Mr. Lumish) I've handed you a document that has not  
10 been pre-admitted in this case. But are you familiar with  
11 Working Group meeting minutes that are reflected and recorded  
12 and stored online?

13 A. Yes, I am.

14 Q. Can you take a look at what you were just handed that is  
15 marked at the top R2-080549?

16 MR. LUMISH: Can we approach, Your Honor?

17 THE COURT: You may.

18 (Bench conference.)

19 MR. LUMISH: This looks like a 20-page document.  
20 There's no trial exhibit number. It wasn't disclosed. I'm  
21 not aware of what it is. This is unfair.

22 MR. CALDWELL: It's the meeting minutes off the  
23 public 3GPP website.

24 THE COURT: Okay. And what is the relevance to  
25 them?



1 MR. CALDWELL: They're the meeting minutes where  
2 Mr. Sebire's proposal was discussed by the Working Group  
3 where he was at the meeting.

4 MR. LUMISH: The issue is it's not on the list.

5 THE COURT: Why wasn't it disclosed?

6 MR. CALDWELL: Well, it's an impeachment exhibit.

7 THE COURT: Okay.

8 MR. CALDWELL: It's certainly at least --

9 THE COURT: I'm just asking you to assert your  
10 objection and your response.

11 MR. CALDWELL: Absolutely. Absolutely.

12 I'm also thinking about -- the reason I smile is  
13 I'm thinking about the cross-examination on an order from a  
14 Georgia court yesterday. It's a public record.

15 And this document had -- this witness has personal  
16 knowledge of these meetings and the minutes that come out of  
17 them, so I think he has more than adequate foundation for it.

18 THE COURT: If he lays the foundation, what's your  
19 objection to the document?

20 MR. LUMISH: Just that it wasn't disclosed. That's  
21 all.

22 THE COURT: Okay. That objection is overruled.  
23 Lay the foundation and then offer it.

24 MR. CALDWELL: Yes, Your Honor. Yes, Your Honor.  
25 I was just going to also remind the Court, remember that we

1 got a huge production from him and Ericsson the night before  
2 trial, so...

3 THE COURT: I've got it.

4 MR. LUMISH: Can I make one more point, if I may,  
5 please, while we're here?

6 THE COURT: Yes.

7 MR. LUMISH: I'm going to need three or five  
8 minutes of redirect, so I just wanted to check in on timing.

9 MR. CALDWELL: I think you'll be fine.

10 MR. LUMISH: Okay. Thank you.

11 THE COURT: All right. Thank you.

12 MR. LUMISH: Thank you very much.

13 (Bench conference concluded.)

14 Q. (By Mr. Caldwell) So, Mr. Stattin, what is the document  
15 that I've handed you?

16 A. It appears to be a 3GPP RAN2 Working Group document.

17 Q. Are you familiar with documents like this?

18 A. Yes.

19 Q. Have you flipped through it a little bit to see that it  
20 reflects minutes from a meeting known as No. 60b?

21 A. No, I have not.

22 Q. Can you tell that, by looking at the header and flipping  
23 through it for a second?

24 A. Based on the header and the object, it appears to be  
25 minutes from an LTE user session.

1 Q. Do you have any reason to believe that these are not the  
2 authentic meeting minutes from those meetings that are  
3 reflected on the public 3GPP website?

4 A. No immediate reason to say that.

5 MR. CALDWELL: Your Honor, we'd move for the  
6 admission of this exhibit, which I will get a new sticker  
7 for.

8 MR. LUMISH: No objection, Your Honor.

9 THE COURT: Okay. It will be admitted.

10 Let me know what the number will be.

11 MR. CALDWELL: Your Honor, it is Plaintiff's  
12 Exhibit 299.

13 THE COURT: Thank you.

14 Q. (By Mr. Caldwell) Mr. Stattin, will you do me a favor  
15 and flip to the eighth page, which we'll also pull up on the  
16 screen?

17 MR. CALDWELL: Would you pull up that section,  
18 Mr. Evans?

19 Q. (By Mr. Caldwell) Mr. Stattin, do you see that Nokia's  
20 contribution of criteria for short and long BSR was discussed  
21 at this meeting?

22 A. Excuse me. Could you repeat that question?

23 Q. Yes, sir.

24 Do you see that the Nokia contribution, R2-080015, was  
25 specifically discussed at the 60b meeting?

1 A. From this document, it appears that this Nokia  
2 contribution was discussed at that meeting, yes.

3 Q. Now, is there any indication whatsoever that anybody at  
4 that meeting disputed that this contribution came from  
5 Mr. Sebire?

6 A. Whether the content of the ideas are coming from a  
7 certain company or not is not reflected by the company or who  
8 is creating the contribution to the meeting.

9 It may be based on early ideas and early discussion, and  
10 then it has been put in a contribution at some point by a  
11 company because maybe they're being formed more -- more of a  
12 consensus in that direction or it's -- the standard has  
13 more -- matured a bit, and it's a better moment, better time  
14 to discuss and decide certain aspects.

15 So from just seeing this document and the title and its  
16 minutes, I cannot tell from whom the ideas are coming.

17 Q. Have you seen any document from which you can say  
18 someone other than Mr. Sebire conceived of the ideas in his  
19 patent claims?

20 A. I'm not aware of the patent claims.

21 Q. And just so we're clear, that question was about --  
22 about documents. Are you contending, or is it your belief  
23 that someone other than Mr. Sebire contributed and conceived  
24 of the ideas that are inventive in his patent claims?

25 A. I've not seen the claims. What I have seen is that I've

1 told already that in the beginning of this contribution  
2 080015, there were some bullets and criteria which were  
3 contributed by Ericsson in the joint workshop.

4 Q. How well do you know Mr. Sebire?

5 A. I've been meeting him at RAN2 Working Group meetings for  
6 a number of years.

7 Q. Do you trust him?

8 A. I believe I do.

9 Q. You guys actually knew each other fairly well. It's not  
10 just that you happen to see each other at meetings; is that  
11 fair?

12 A. Can you define "know someone well"?

13 Q. Let me ask a different question.

14 Did you and Mr. Sebire actually get pitted against each  
15 other in an election at one point?

16 A. We were -- Mr. Sebire, myself, and a delegate of -- was  
17 it LG Electronics -- were at one point all candidates for a  
18 vice chairman position in RAN2.

19 Q. What happened in the election?

20 A. In the election there was a round of elimination and  
21 then there was a final round. In the first round the  
22 candidate from LG Electronics was eliminated, and in the next  
23 round it was concluded that Mr. Sebire won the election.

24 Q. Did that election take place before or after Mr. Sebire  
25 made contribution 080015 to the standard?

1 A. My recollection is that the election was in 2009, so  
2 that would have been after 2008.

3 Q. The election was after the contribution?

4 A. The election was after the meeting that we are looking  
5 at in this exhibit.

6 Q. Was the election before or after Nokia-NSN told the  
7 other 3GPP participants that it had a patent application on  
8 Mr. Sebire's idea?

9 A. I don't know.

10 Q. Does Ericsson sometimes have ideas that it keeps secret  
11 until it can file a patent application before they propose it  
12 to the standards group?

13 A. What do you mean by "keep secret"?

14 Q. Does Ericsson sometimes have ideas that it keeps  
15 confidential internally at Ericsson to make sure they file a  
16 patent application before they disclose it to the working  
17 group?

18 A. If we're going to file a patent application, that, to my  
19 understanding, needs to be done before disclosing the  
20 invention or publishing the invention.

21 Q. So does that mean that, yes, Ericsson will keep it  
22 confidential from the working group until they get the  
23 application on file?

24 A. Ericsson would keep it confidential from the public.  
25 I mean, if we would share it with other companies, then I

1 think it would be very difficult for us to file it  
2 afterwards.

3 Q. Will you find DTX-576?

4 MR. CALDWELL: No. I think I gave you the wrong --  
5 I was looking for 080015 -- I'm sorry.

6 Q. (By Mr. Caldwell) I'm sorry -- I'm sorry, 567. Do you  
7 have Defendants' Exhibit 567 handy, sir? It's the  
8 contribution from Mr. Sebire.

9 A. Yes.

10 Q. Would you have preferred to show this contribution to  
11 the jury during your direct testimony?

12 A. I don't think I understand the question.

13 Q. Would your testimony have been more complete if you had  
14 showed Defendants' Exhibit 567 to the jury, sir?

15 A. I don't know.

16 Q. I understand that you have -- we have a limited amount  
17 of time, and you have to leave tomorrow.

18 MR. CALDWELL: So I will pass the witness now so  
19 that Mr. Lumish can have some follow-ups. Thank you.

20 THE WITNESS: Thank you.

21 REDIRECT EXAMINATION

22 BY MR. LUMISH:

23 Q. Let's look at 567.

24 The suggestion you were hearing, sir, if you were  
25 confused by it, was that we somehow were hiding this document

1 either from you or from somebody else. Let's start at the  
2 top of it.

3 First of all, look at the date. It says January -- why  
4 don't --

5 MR. LUMISH: Actually, Mr. Schmoller will you bring  
6 up through Proposal 1 for me, please?

7 Right there. So I want to have the date at the top  
8 and -- thank you.

9 Q. (By Mr. Lumish) Do you see the date at the top, sir?

10 A. I do.

11 Q. It says 14-18 January 2008.

12 A. Yes.

13 Q. Is that before or after the workshop?

14 A. That's after the workshop.

15 Q. Is that before or after the joint Ericsson contribution  
16 that we talked about before?

17 A. It's after.

18 Q. And there is a source listed there. Do you see the  
19 source?

20 A. Yes.

21 Q. Does it include Ericsson on it?

22 A. No.

23 Q. Now, he didn't show you the actual criteria and call  
24 those up. Let's take a look at those in Section 2 here. Do  
25 you see it says --



1 MR. LUMISH: Can you maybe blow that up for me  
2 please, Mr. Schmoller?

3 Q. (By Mr. Lumish) It says: In its simplest form the  
4 criteria can simply depend on the amount of data that is  
5 buffered in the different logical channel groups.

6 Do you see that?

7 A. I see that.

8 Q. And you've talked about radio bearer groups. Is there a  
9 difference, in your mind, between logical channel groups and  
10 radio bearer groups?

11 A. They are commonly used -- they use these terminologies  
12 interchangeably in the RAN2 Working Group.

13 Q. And the criteria that Mr. Sebire lists here only in  
14 Nokia's name are, first: If only one LCG has buffered data,  
15 report the short BSR format. And as soon as more than one  
16 LCG has buffered data, report the long BSR format.

17 Do you see that?

18 A. I see that.

19 Q. Do you believe that Mr. Sebire conceived of those  
20 selection criteria for choosing between short and long buffer  
21 status reports all by himself, separate from the workshop?

22 A. No.

23 MR. LUMISH: Let's look at the proposal, please,  
24 Mr. Schmoller.

25 That was right underneath there, Proposal 1.

1 Thank you.

2 Q. (By Mr. Lumish) It says Proposal 1: If only one LCG has  
3 buffered data, report short BSR. As soon as more than one  
4 LCG has buffered data, report long BSR.

5 Is that an idea that Ericsson had before January 2008?

6 A. Yes.

7 Q. And is that an idea that Ericsson presented to the  
8 workshop?

9 A. Yes.

10 Q. Is that an idea that Ericsson presented to the workshop  
11 when Mr. Sebire was there?

12 A. Yes.

13 MR. LUMISH: Can you -- Mr. Schmoller, will you  
14 bring up the criteria again, please? I'm going to ask you to  
15 split screen.

16 And if you could split it with Page 11 of  
17 Defendants' Exhibit 756. So DT-756, Page 11.

18 Q. (By Mr. Lumish) So we have on the left the January 2008,  
19 Nokia submission; and on the right, we have the PowerPoint  
20 slide from Ericsson from the workshop.

21 MR. LUMISH: Can you make them bigger or maybe put  
22 one on top of the other?

23 Thank you.

24 So I -- yeah, I need the -- exactly. Actually,  
25 above that. So the four or five bullets above it, too. From

1 "2 formats" down, please.

2 Thank you.

3 Q. (By Mr. Lumish) We're going to try to make this a little  
4 easier to read.

5 So on the top now we have the proposal that Mr. Sebire  
6 submitted in Nokia's name only. It says: If only one LCG  
7 has buffered data, report the short; and if more than one,  
8 report the long.

9 Now, on the bottom we have the PowerPoint from Ericsson.  
10 It's in bold text, isn't it?

11 A. Yes.

12 Q. So that's the same bold texting that Mr. Caldwell asked  
13 you about and with the same significance he asked you about  
14 in the -- in the PowerPoint?

15 A. Yes.

16 Q. And do you see any similarities here, sir, between what  
17 Ericsson wrote in the PowerPoint and what's in Mr. Sebire's  
18 name in the first document at the top there?

19 A. Yes, I do.

20 Q. And what's the similarity?

21 A. The similarity is that if you have data to report on one  
22 group, then you use the short format. If you have data on  
23 more radio bearer groups, then you use the long format.

24 Q. Forgive me. I wrote on this. I got excited when I saw  
25 it.

1 Mr. Caldwell didn't show you this part of Plaintiff's  
2 Exhibit 299. These are the meeting minutes.

3 Let me make sure I've got the number correct. Sorry.  
4 Plaintiff's Exhibit 299.

5 He showed you these meeting minutes from the RAN2  
6 Working Group. He didn't ask you about that bullet, I don't  
7 think, unless I misheard, where it says: Ericsson is quite  
8 happy with the Proposals 1 and 2.

9 Do you see that?

10 A. I do.

11 Q. Do you remember what Proposals 1 and 2 are?

12 A. Not from the top of my head. Proposal 1, I have read  
13 now during my testimony. And you showed it to me so that I  
14 recall. And that is in line with what Ericsson has been  
15 proposing before.

16 Q. So Proposal 1 is the one that we looked at here in the  
17 Nokia submission of using one -- of using a short form buffer  
18 status reports when there's only one buffer to report. And a  
19 long form buffer status report, when there's more than one  
20 buffer to report. Are you surprised that Ericsson was happy  
21 with that proposal?

22 A. Not at all.

23 Q. Why would you think Ericsson would be happy with it?

24 A. Because it was consistent with our ideas and what we  
25 were thinking.

1 Q. Now, a couple of times Mr. Caldwell referred to a video  
2 conference you and I had. Do you remember this?

3 A. Yes.

4 Q. And I think he also was suggesting to the jury there  
5 that I -- I hid that from him. Do you remember me asking you  
6 about that in your first minute or two of your testimony  
7 today?

8 A. Yes, you did.

9 Q. Okay. And tell the jury more about that call, please,  
10 the video conference you and I had.

11 A. In that video call we discussed -- I was asked about my  
12 educational background, my work at Ericsson, my work in 3GPP.

13 We discussed a little bit about buffer status reporting.  
14 We discussed the joint contribution and a patent by Johan  
15 Torsner.

16 THE REPORTER: By who? I'm sorry, repeat that.

17 THE WITNESS: The name?

18 THE REPORTER: Yes.

19 THE WITNESS: Johan Torsner.

20 THE REPORTER: Thank you.

21 Q. (By Mr. Lumish) Now, earlier in your testimony on direct  
22 you mentioned something about a patent application that you  
23 thought showed to you that Ericsson had conceived of the  
24 ideas of using a short form with a single buffer that needs  
25 to report data and a long form when there's more than one.

1           What -- what was the application or patent you had in  
2 mind when you testified?

3       A.    That was the same patent by Johan Torsner.

4       Q.    And do you know if that patent ends -- excuse me -- do  
5 you know if that patent ends in the number '666?

6       A.    I think it does.

7       Q.    Okay. Now, he also asked you about whether you knew --  
8 whether you thought of Apple as an adversary. You're not in  
9 the legal department of Ericsson, are you?

10      A.    I'm not.

11      Q.    And are you aware of any lawsuits between Apple and  
12 Ericsson?

13      A.    No.

14      Q.    So you -- you don't know if Apple and Ericsson have been  
15 embroiled in lawsuits in any time in the past?

16      A.    In the past I am aware, yeah, we have been in lawsuits.  
17 But not any -- I'm not aware of any present.

18      Q.    Okay. He -- Mr. Caldwell asked you if it crossed your  
19 mind to look at any later dated proposals to the working  
20 group or to the 3GPP, later dated than the joint Ericsson  
21 proposal.

22           By the way, the reason I call it that, is Ericsson is  
23 the first name. I've been calling it that for two years. I  
24 don't think I'm going to be able to change now.

25           So he said: Did it cross your mind to look later -- at

1 later proposals after the joint Ericsson proposal?

2 You said you looked for prior. Why did you look for  
3 prior instead of later?

4 A. I was looking trying to understand what led up to the  
5 joint proposal. And I had no reason to believe I would look  
6 at things after that.

7 Q. All right. I think my last question for you, sir, is,  
8 Mr. Caldwell referred to an election. Do you remember that?

9 A. Yes.

10 Q. And in the election you were running to become vice  
11 chair of some part of the standards body; is that right?

12 A. That's right.

13 Q. You lost that election to Mr. Sebire; is that fair?

14 A. I did.

15 Q. And how did you feel about that?

16 A. At the time it was my not preferred outcome, of course,  
17 because if you're running, then you prefer to come out  
18 winning.

19 But it's also -- it would be an honor to be chairman,  
20 but it would also be a lot of work. And, frankly speaking, I  
21 think I made a better job for Ericsson being a delegate.

22 Q. Do you hold a grudge against Mr. Sebire because he won  
23 the election and you didn't?

24 A. No, I don't.

25 Q. Did you shade any of your testimony to our jury today

1 because you lost that election?

2 A. No.

3 Q. Did you say anything today that you think is untruthful  
4 because you lost some election at the working group level?

5 A. No.

6 Q. That's all I have for you, sir. Thank you very much.

7 MR. CALDWELL: Can I try and fit it in, in a minute  
8 here?

9 THE COURT: All right.

10 RECROSS-EXAMINATION

11 BY MR. CALDWELL:

12 Q. Mr. Stattin, I'll try to be real quick, given the time.

13 You said that Apple and Ericsson used to be adversaries  
14 in lawsuits, right?

15 A. I said that I am aware that Ericsson and Apple was in a  
16 lawsuit.

17 Q. And they resolved those difference in December of 2015,  
18 correct?

19 A. I don't have a clear picture of the entire timeline on  
20 that.

21 Q. Prior to the resolution of that lawsuit, had you ever  
22 contended that Mr. Sebire claimed inventions that weren't  
23 his?

24 A. No.

25 Q. Did you ever offer to have a video conference with us?



1 A. I didn't have a video call with CCE. I met a CCE  
2 representative at the -- at my deposition, though.

3 Q. And then when you had a discussion with Apple and talked  
4 about this Torsner patent, just to be clear, you didn't  
5 present any indication to the jury that that Torsner patent  
6 has any relationship to what we're talking about today, did  
7 you?

8 A. I don't recall if I -- if I did or not.

9 Q. Sir, you've not identified a single person who conceived  
10 of inventive concepts in Mr. Sebire's claims, correct?

11 A. I still haven't seen the claims, so, sorry, I cannot  
12 answer that question.

13 Q. So -- I may -- I may already know the answer to this,  
14 then. Do his claims address the unanswered question of what  
15 to do if a short format fits and a long one does not?

16 A. I don't know.

17 Q. And when his proposal was presented to the working  
18 group, Ericsson said it was happy, right?

19 It was quite happy with this proposal, correct?

20 A. Ericsson seemed so.

21 Q. Do you remember the suggestion that I hid that -- that  
22 bullet point from the jury? Do you remember that suggestion  
23 just now?

24 A. Can you repeat that question?

25 Q. Yes, sir.

1 Do you remember Mr. Lumish's suggestion that I did not  
2 show the jury that bullet point?

3 A. No. I must have missed his saying that.

4 Q. You don't remember him saying: Now, Mr. Caldwell didn't  
5 direct you to this part right here where it says Ericsson is  
6 quite happy with Proposals 1 and 2?

7 You don't remember that?

8 A. Now that you say it, yes, I remember it.

9 Q. Who brought that document to court?

10 A. You did.

11 Q. Who directed the jury to that page?

12 A. You did.

13 Q. And in those minutes does it say that Ericsson stood up  
14 and said: Hey, this is our proposal. We talked about it a  
15 long time ago?

16 A. That's not usually the way things are done in 3GPP.

17 Q. It does not say that, does it?

18 A. Doesn't say that, and that's not how we work in 3GPP.

19 MR. CALDWELL: No further questions, Your Honor.  
20 Thank you.

21 THE COURT: All right. Anything further?

22 MR. LUMISH: No, Your Honor.

23 THE COURT: Okay.

24 MR. LUMISH: Nothing further. Thank you.

25 THE COURT: All right. Dr. Stattin, you may step

1 down.

2 All right. Ladies and Gentlemen of the Jury,  
3 you've put in a long half day, and we're going to recess  
4 until Monday morning at 9:00 a.m.

5 Again, if you'll be here a few minutes early so we  
6 can start on time. I will, again, caution you not to talk  
7 about this case over the weekend with family or friends or  
8 anyone. Just put it out of your mind. Enjoy your weekend,  
9 and we'll pick it back up on Monday. We'll be in recess.

10 COURT SECURITY OFFICER: All rise.

11 (Jury out.)

12 THE COURT: Please be seated.

13 I just wanted to give you all your trial times  
14 before we adjourn.

15 So the Plaintiff has used 8 hours and 18 minutes.  
16 Defendant has used 6 hours and 5 minutes.

17 Is there anything that you need from the Court  
18 before I let you go for the weekend?

19 MR. CALDWELL: No, Your Honor.

20 MR. LUMISH: Nothing at all.

21 THE COURT: All right. Thank you very much. We'll  
22 be in recess until Monday at 9:00 a.m.

23 COURT SECURITY OFFICER: All rise.

24 (Court adjourned until 9:00 a.m.,  
25 September 12, 2016.)

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CERTIFICATION

IT IS HEREBY CERTIFIED that the foregoing is a true and correct transcript from the stenographic notes of the proceedings in the above-entitled matter to the best of our abilities.

/s/\_\_\_\_\_  
CHRISTINA BICKHAM, CRR, RMR  
Official Court Reporter

September 9, 2016

/s/\_\_\_\_\_  
SHEA SLOAN, CSR, RPR  
Official Court Reporter